

Multi-agent Coordination in Uncontrolled Airspaces

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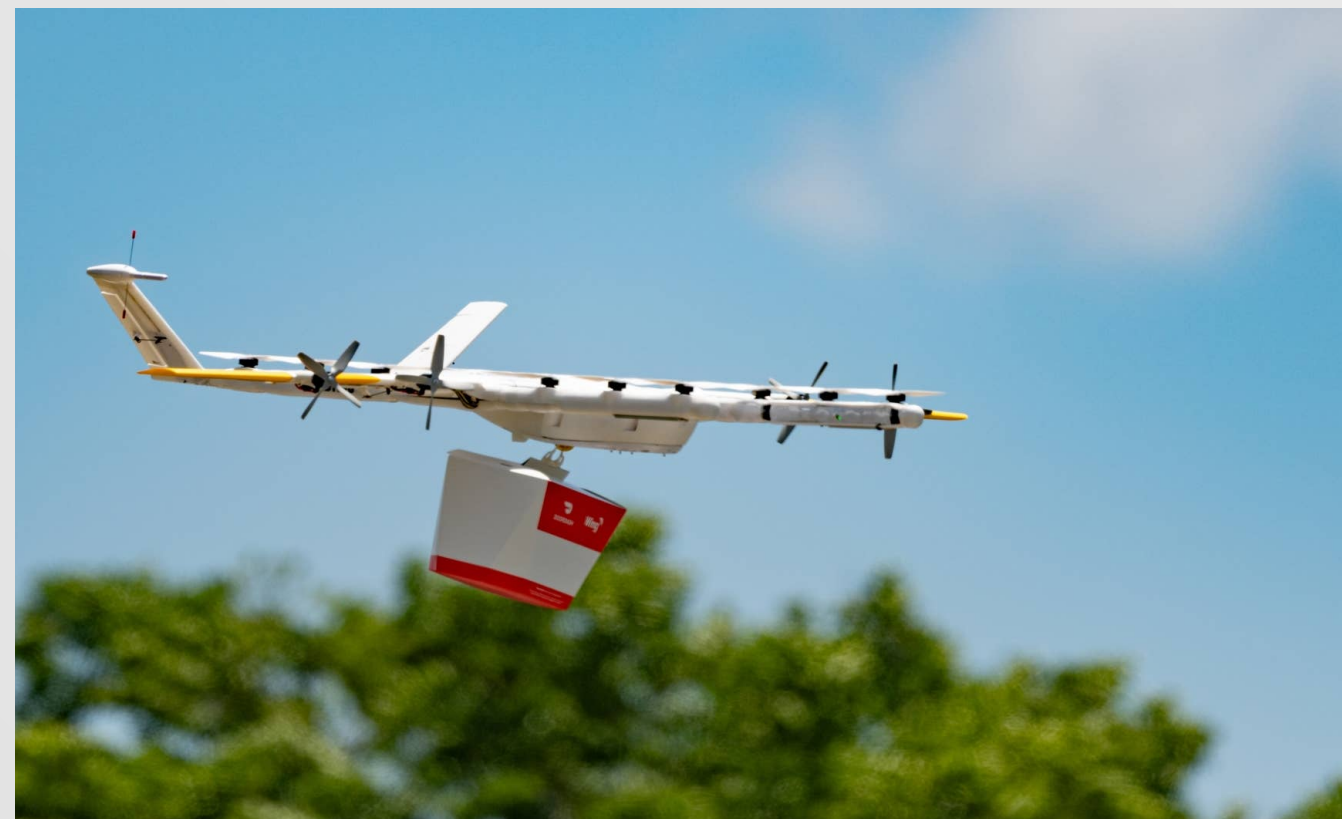
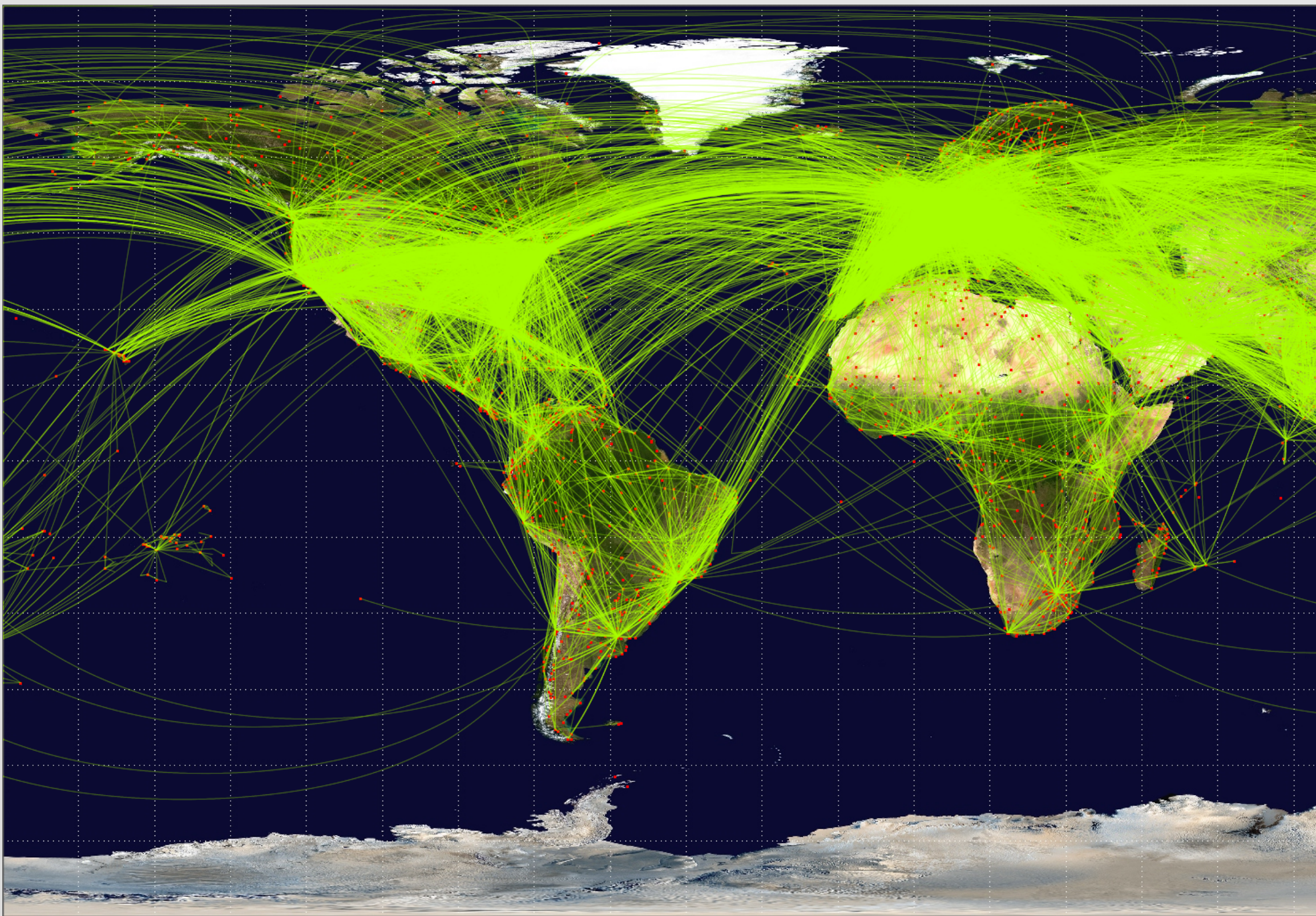


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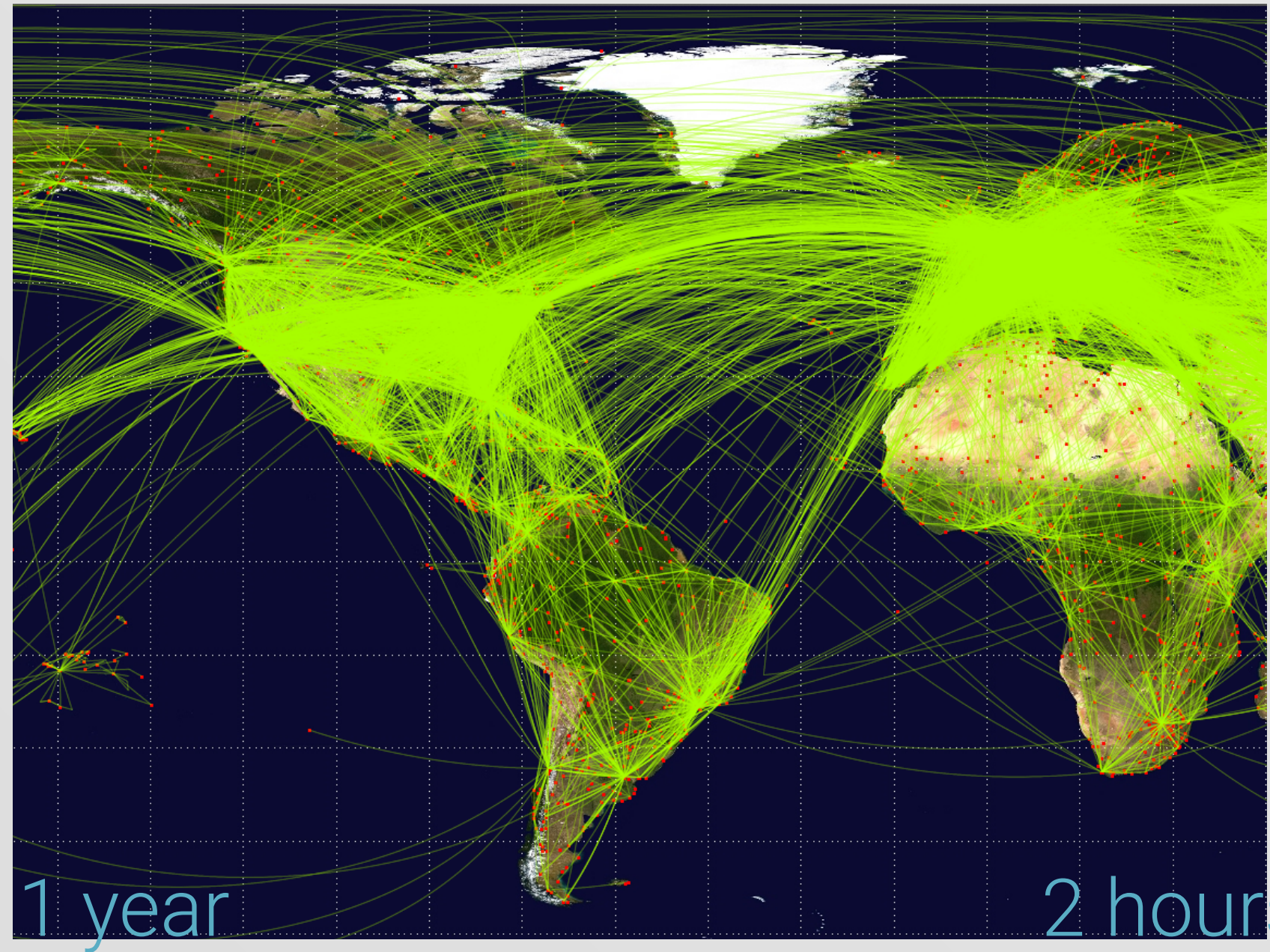
Agenda

- Coordination in Air Traffic
- Audio-based Coordination in Uncontrolled Airspaces
- Commitment-breaking Stackelberg Dynamic Games
- Insights Gained from Breaking Commitments

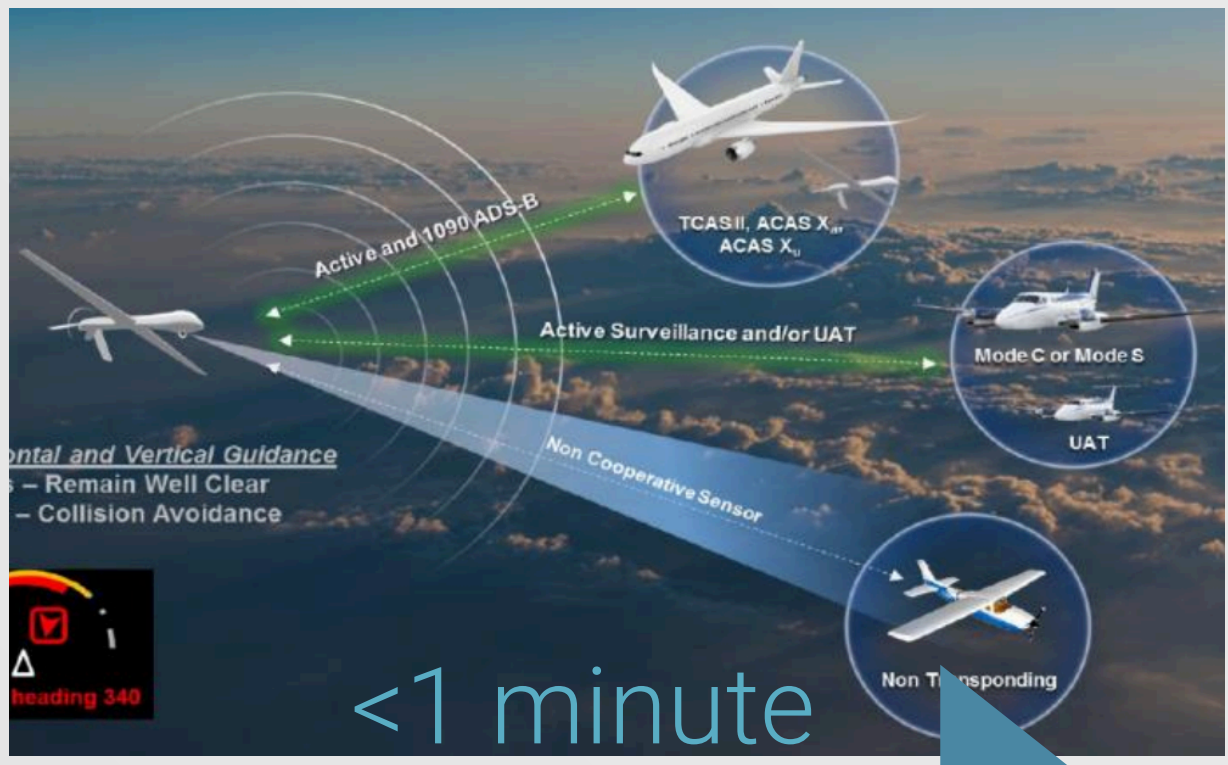


Interaction in Multi-agent Aerial Systems

- Centralized coordination through routing and traffic management
- Decentralized coordination through air traffic controllers
- Real-time coordination via intent exchange (uncontrolled airspaces)
- All strictly protocolled for different scenarios, timescales, and safety needs



Right upwind
runway 8



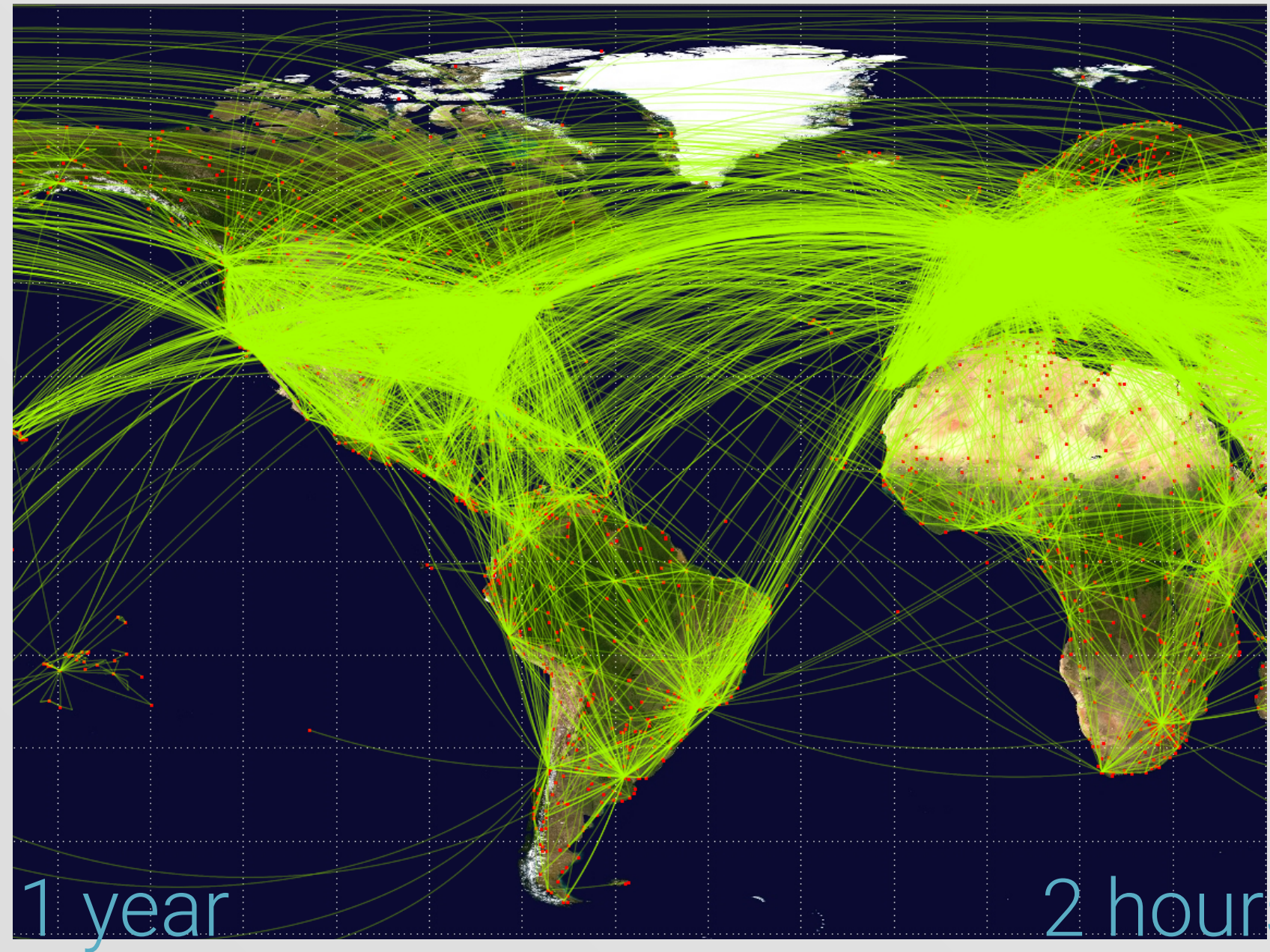
Centralized coordination,
Local policy

Local coordination,
Local policy

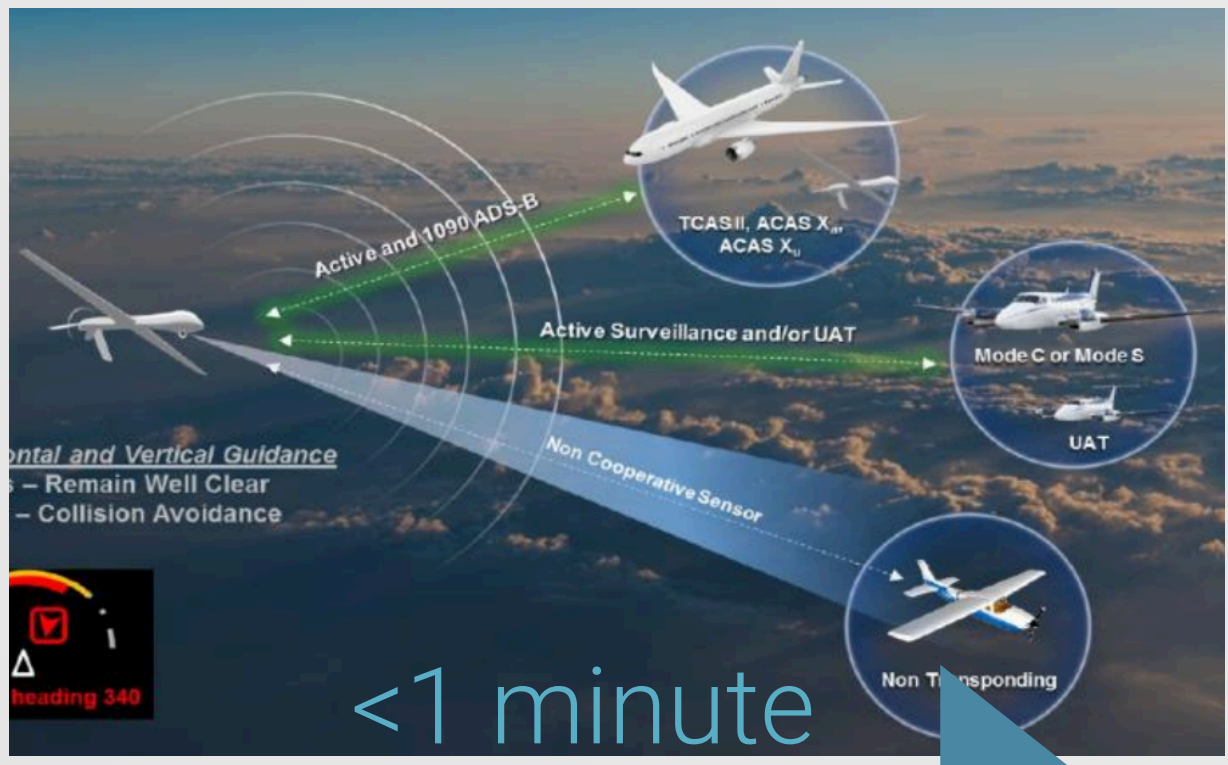
No coordination
Local policy

Coordination Objectives at Different Timescales

- Longer timescales focus on efficiency
- Tactical time scale (2 hours) focus on safety + efficiency
- Sub-minute scale purely maximize safety



Right upwind
runway 8



Centralized coordination,
Local policy

Local coordination,
Local policy

No coordination
Individual policy

Coordination at different time scales

Longer timescales focus on efficiency

Tactical time scale (2 hours) focus on safety + efficiency

Sub-minute scale purely maximize safety

Aircraft collision avoidance is predominantly achieved without close-range cues



AAL 1350 turn left heading 150, climb and maintain 900



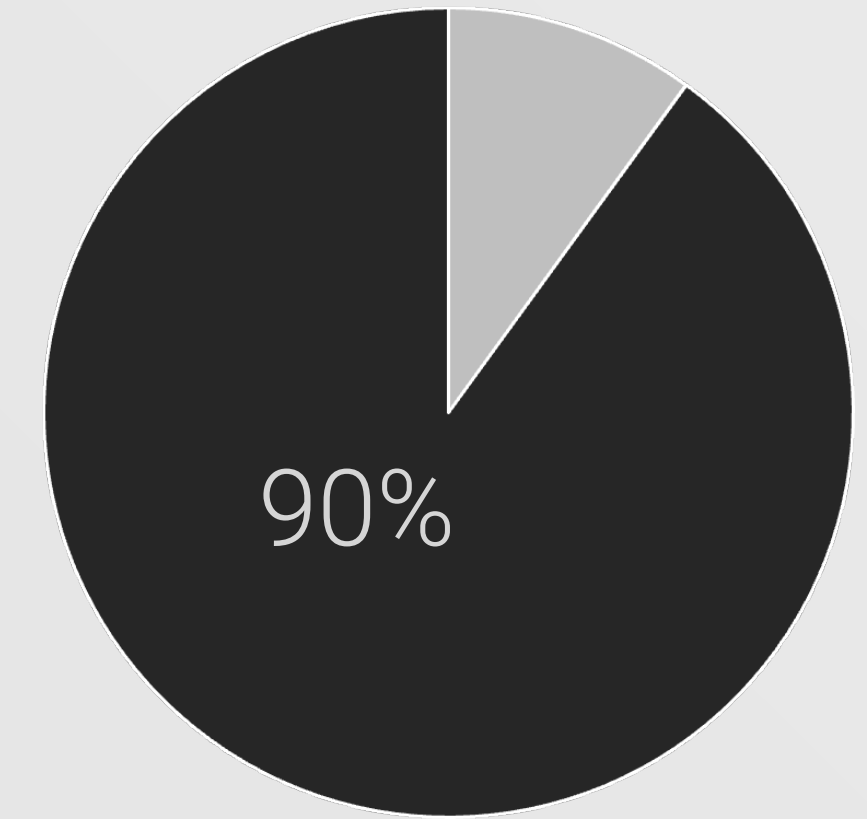
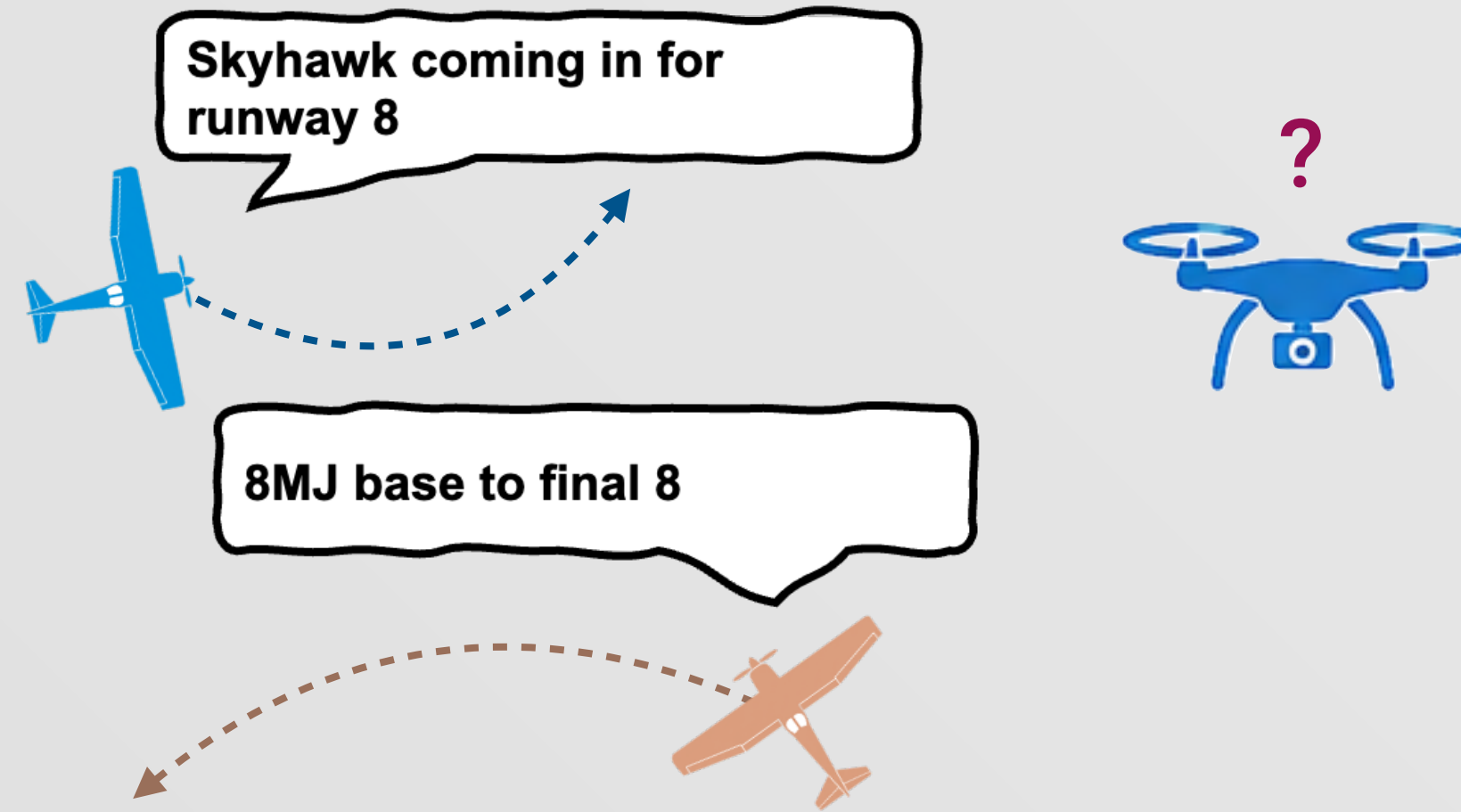
Aircraft Coordination in Controlled Airspaces

Aircraft follows local air traffic controller's **audio** instructions to avoid conflict with other vehicles

Majority of airports in the US do not have control towers



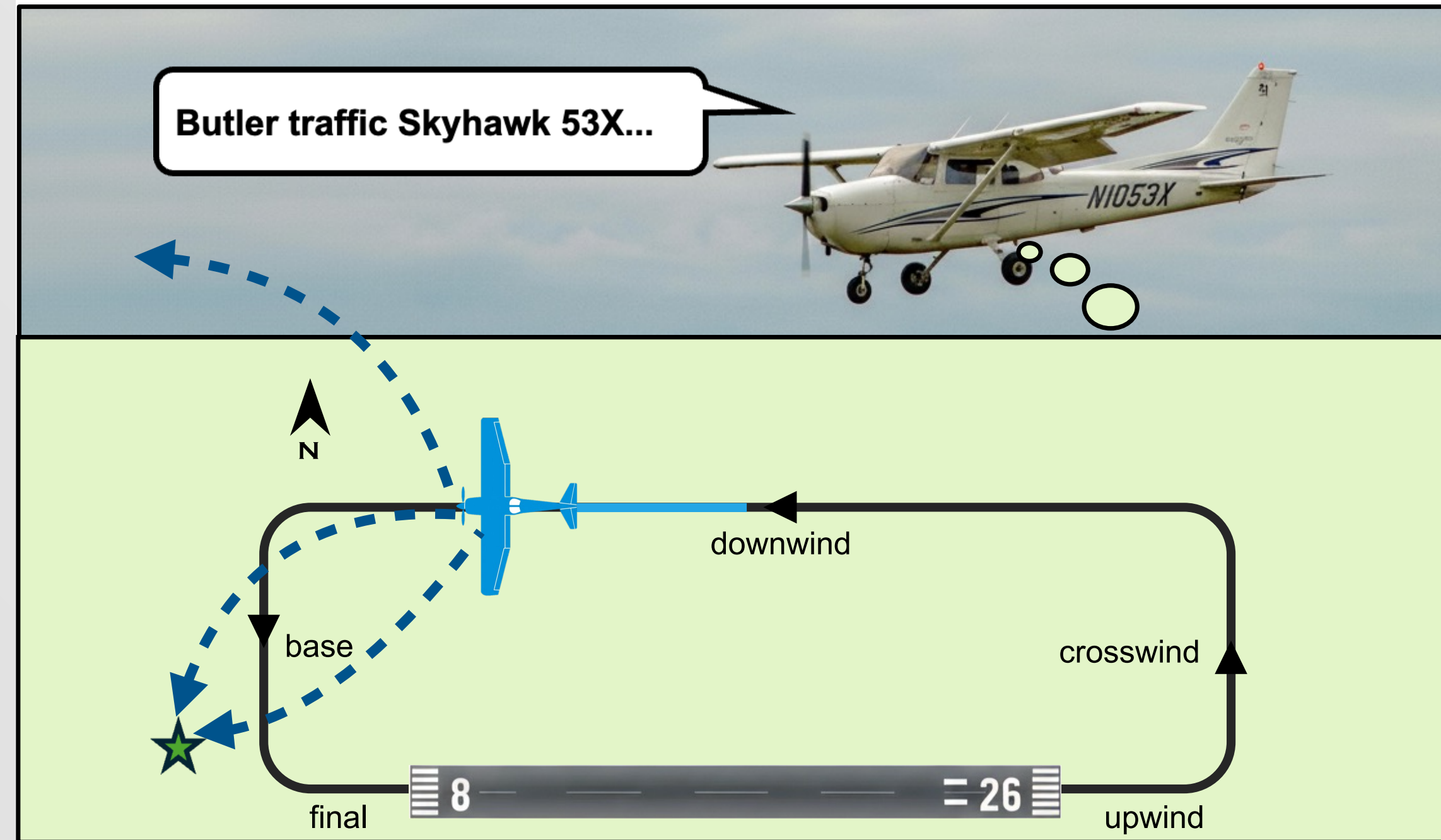
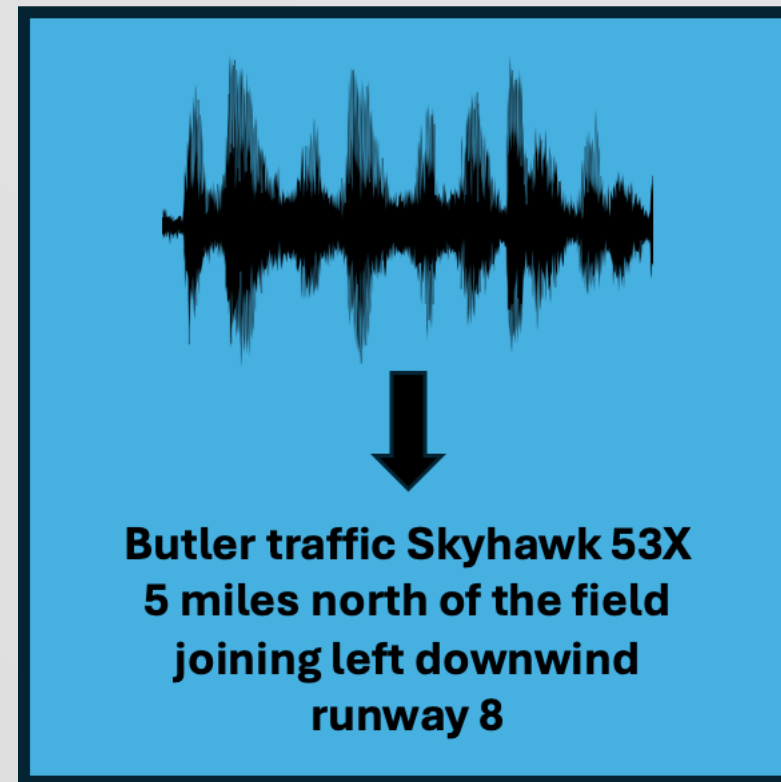
Source: Reliable Robotics



Aircraft Coordination in Uncontrolled Airspaces

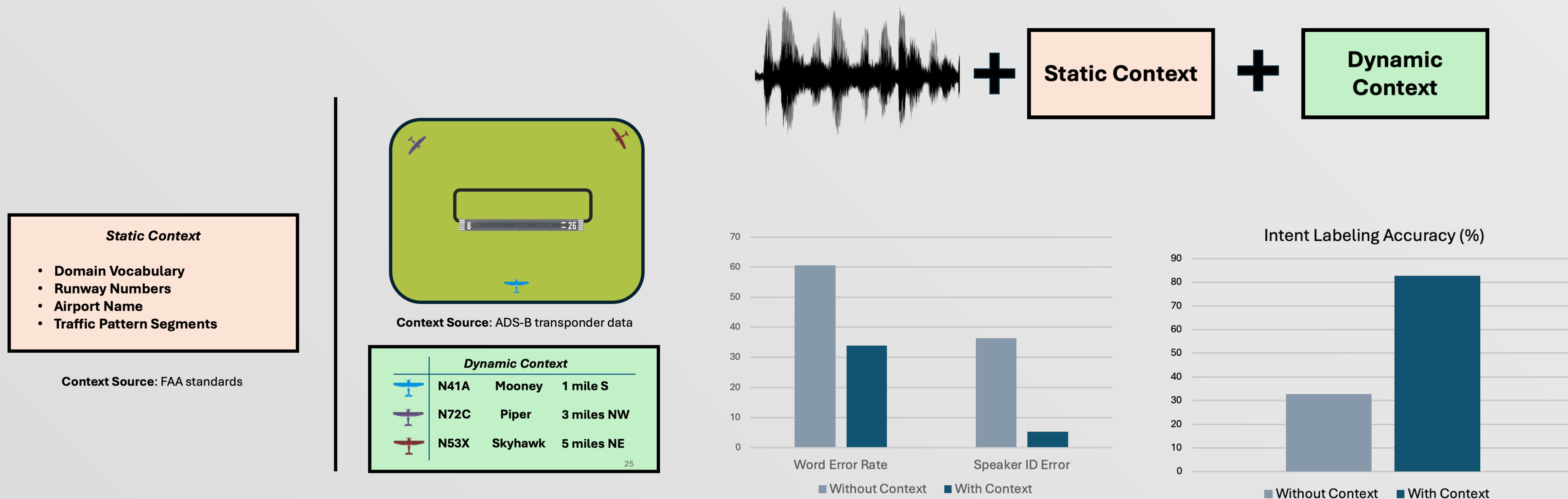
Instead of **visual cues**, human pilots rely on **audio and language** to infer the relative position and intent of other aircraft in its vicinity

Can autonomous vehicles do the same?



Pilot Audio for Trajectory Prediction - Problem Definition

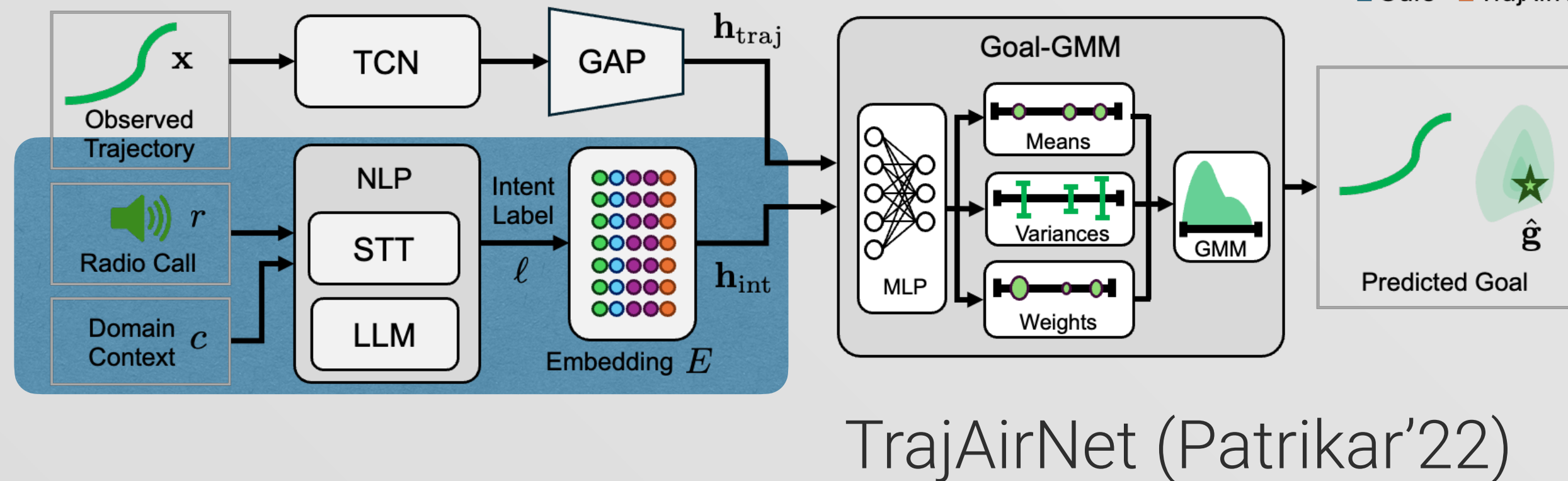
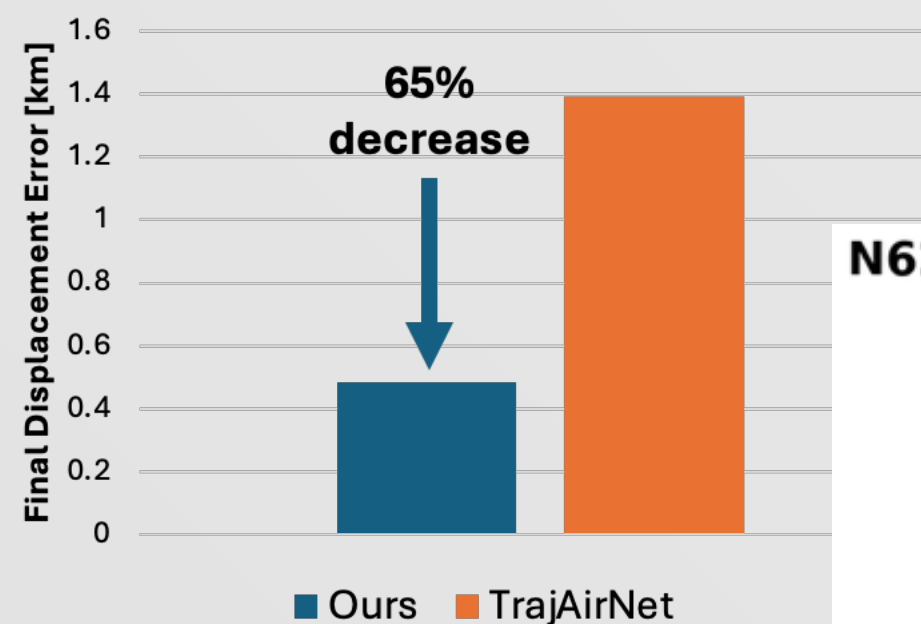
Can audio from human pilots improve the accuracy of aircraft trajectory prediction? Specifically, we seek to use LLMs to infer a **physical goal location** ★ based on pilot audio, and use it to guide trajectory prediction algorithms.



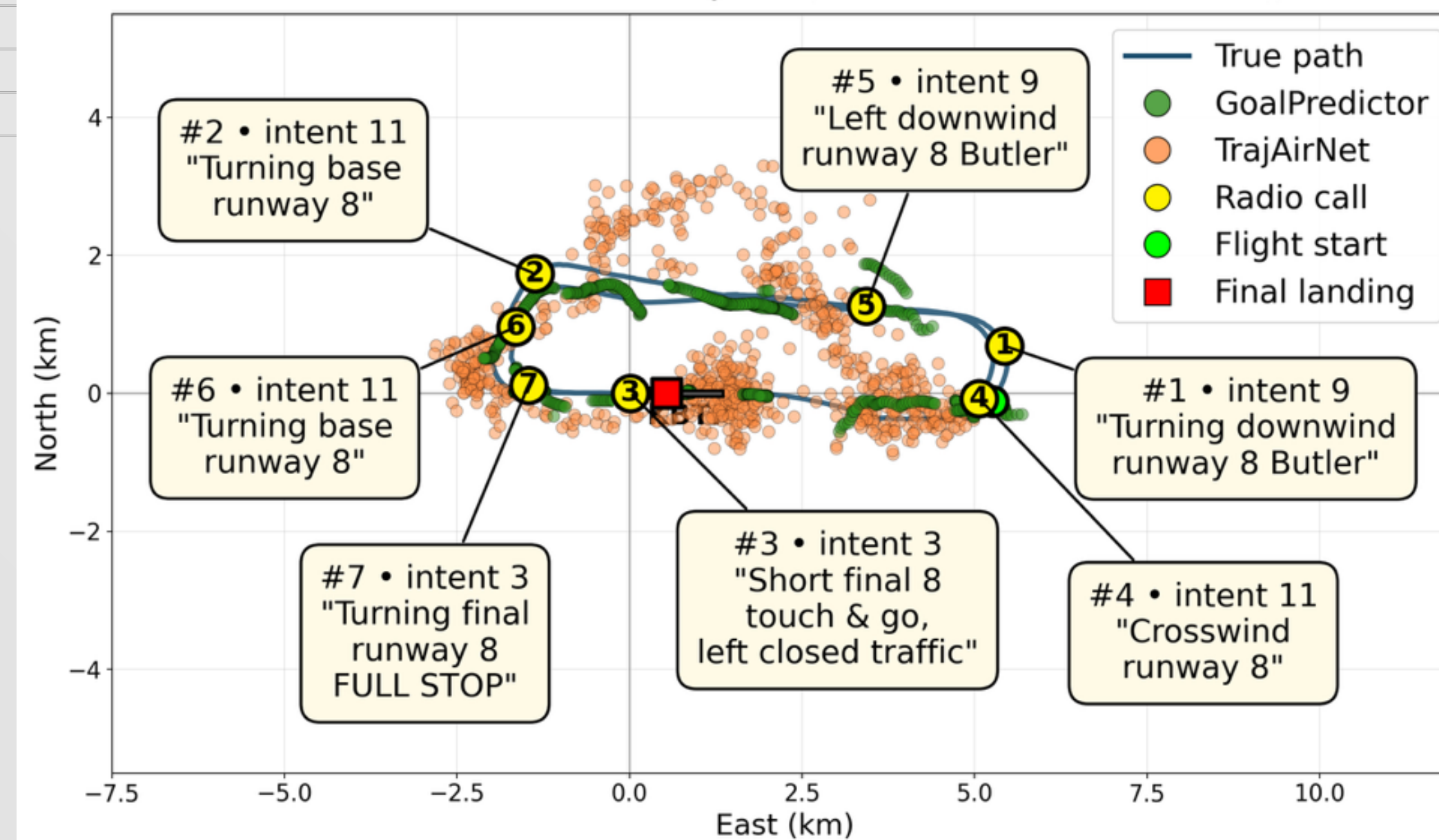
Technical challenges

- Providing LLMs with static contexts through prompting improved accuracy with no additional training
- We handcraft a small, discrete intent vector space structured around traffic patterns used at non towered airports
- Static + dynamic context significantly improved speech-to-intent performance

Goal Prediction Error

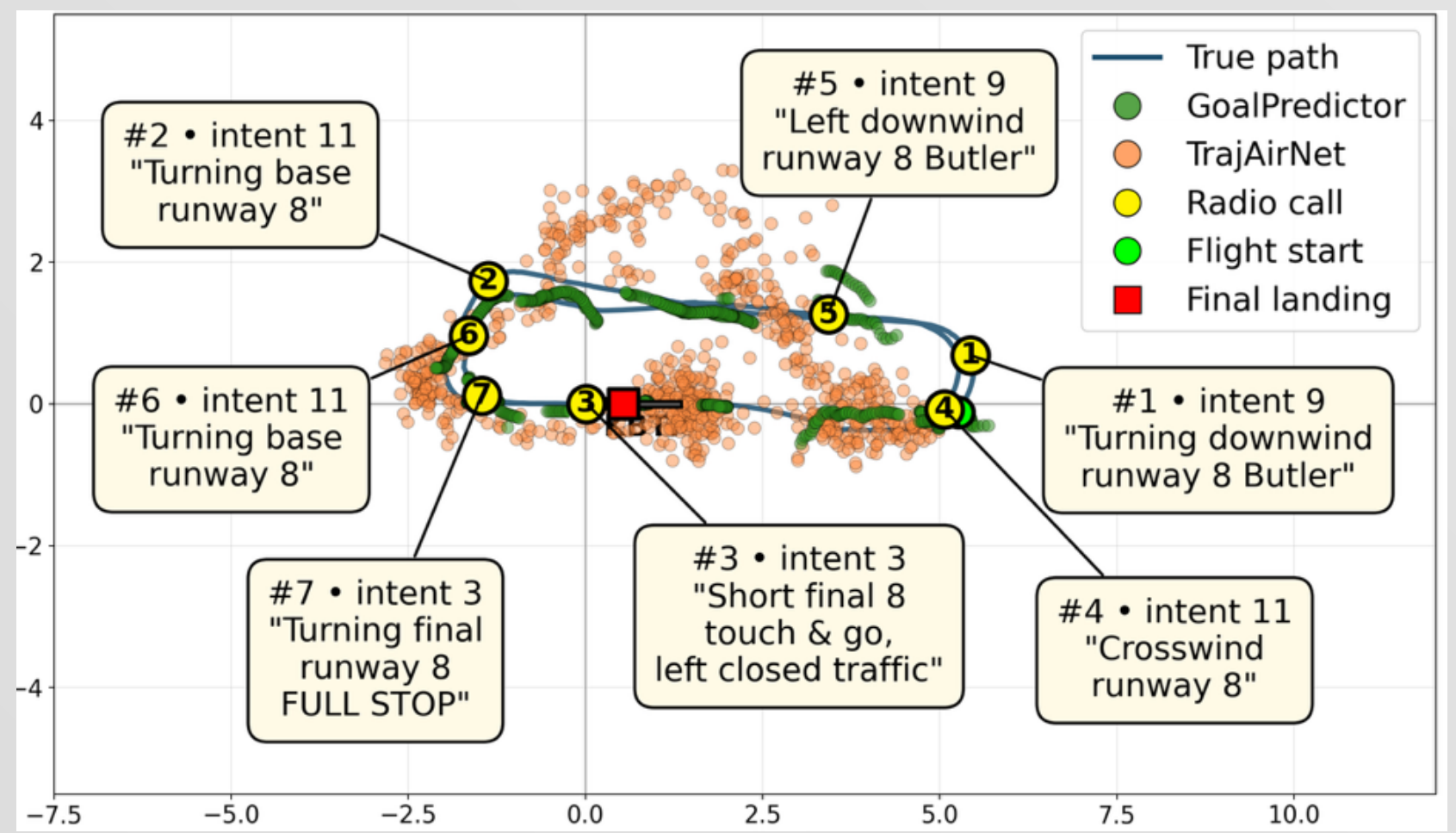
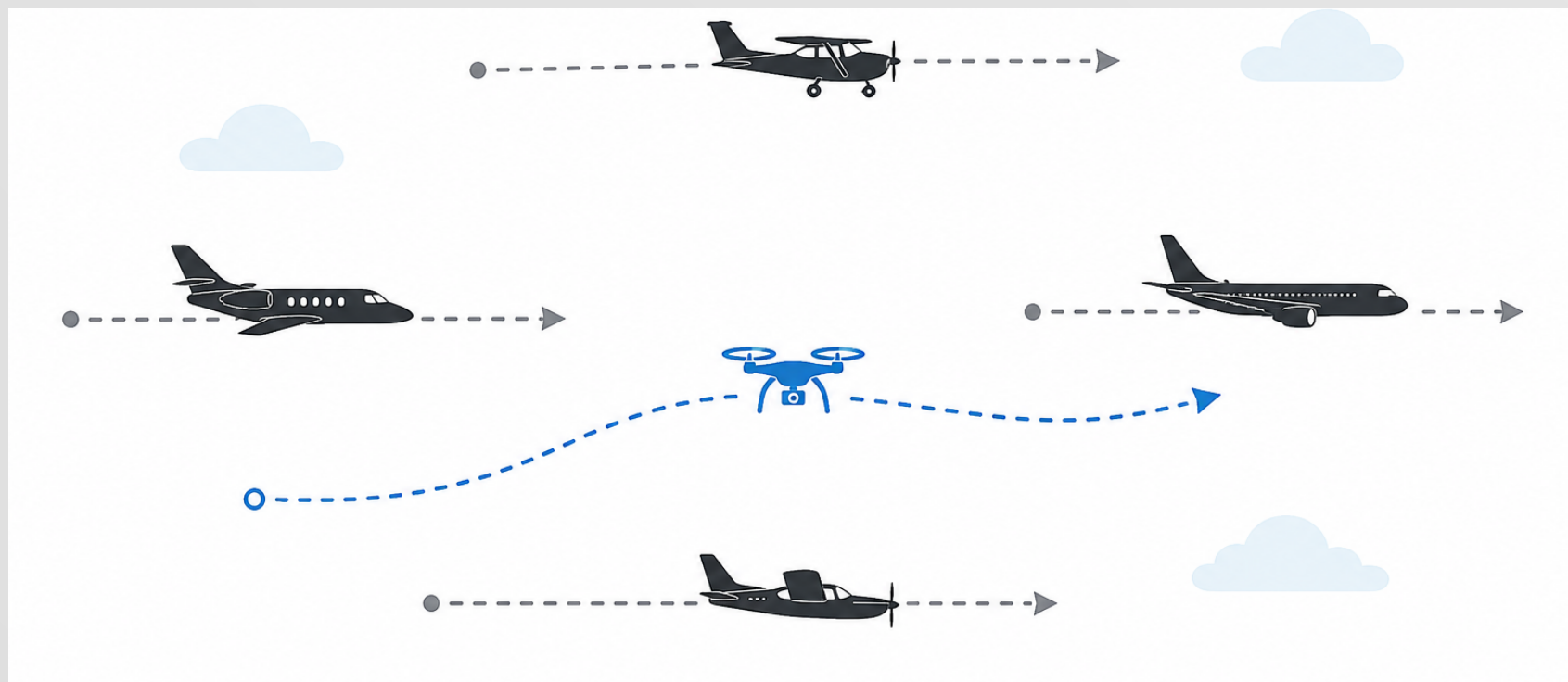


N624AQ Session 6: Goal predictions vs true flight path, with actual radio transcripts
 GoalPredictor: 409m FDE | TrajAirNet: 968m FDE (2.4x gap)

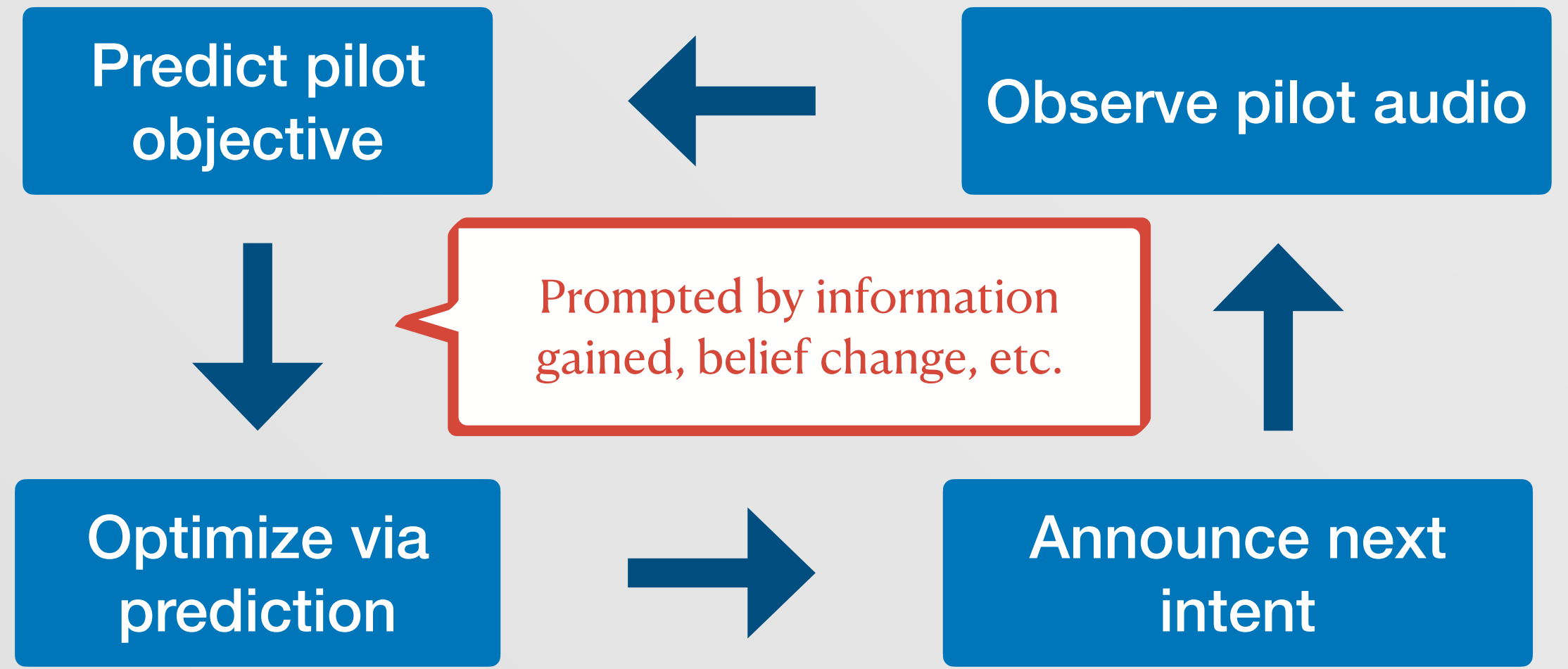


Audio-enabled Aircraft Trajectory Prediction

- Validated on goal prediction error ($FDE = \frac{1}{A} \sum_{i \in [A]} \|g_{a,j} - \hat{g}_{a,j}\|_2$) over a seven days audio + trajectory dataset
- Pilot audio can guide autonomous trajectory prediction in airspaces without control towers
- Audio can act as **a coordination signal between aircraft** without air traffic controller support

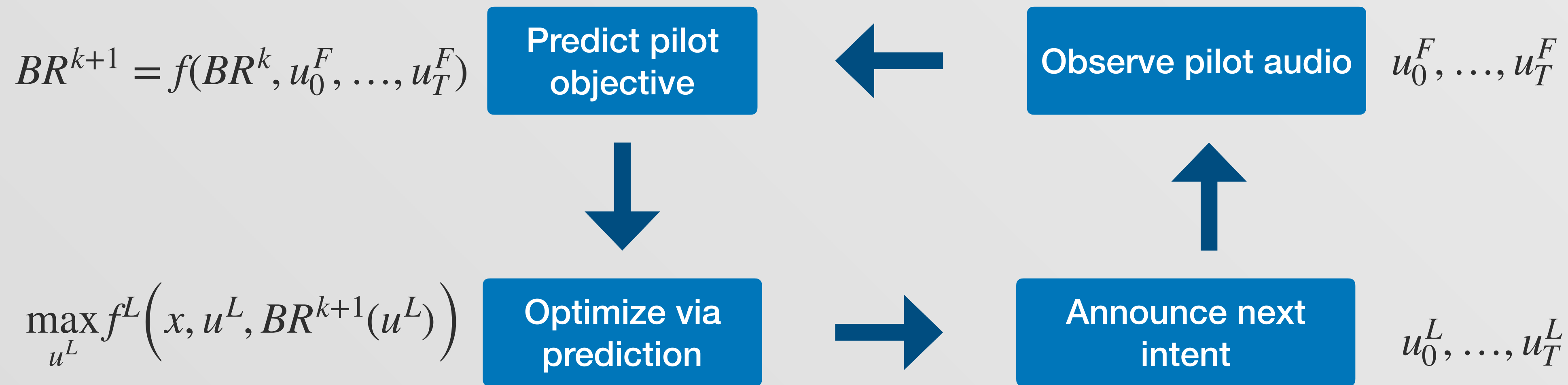


Autonomous aircraft can use audio to coordinate with human pilots



Mixed Human-Autonomous Aircraft Operations

- Pilot audio can guide autonomous trajectory prediction in airspaces without control towers
- Audio can act as **a coordination signal between aircraft** without air traffic controller support



A Stackelberg Game Approach for Coordination

- Autonomous aircraft predict pilot responses to its open loop trajectories and use this model to achieve its objectives
- **Is Stackelberg's open loop solution always optimal under recomputation?**

- We consider a discrete-time LTI system with dynamics Leader (L)

$$x_{t+1} = Ax_t + B^L u_t^L + B^F u_t^F, \forall t \in [T-1]$$

$$x_{0:T} = Hx_0 + G^L u_{0:T-1}^L + G^F u_{0:T-1}^F \quad \text{Follower (F)}$$

- Players have open-loop information structure

$$\Pi_t^i = (u_0^i, \dots, u_{T-1}^i), i = L, F \quad \text{open loop (OL)}$$

- The player's cost is differentiable, strictly convex on x_t, u_t^L, u_t^F (respectively), and stage-decomposable

$$J_{0:T}^i(x_{0:T}, u_{0:T-1}^L, u_{0:T-1}^F) = J_T^i(x_T) + \sum_{t=0}^{T-1} J_t^i(x_t, u_t^L, u_t^F), \quad i = L, F$$

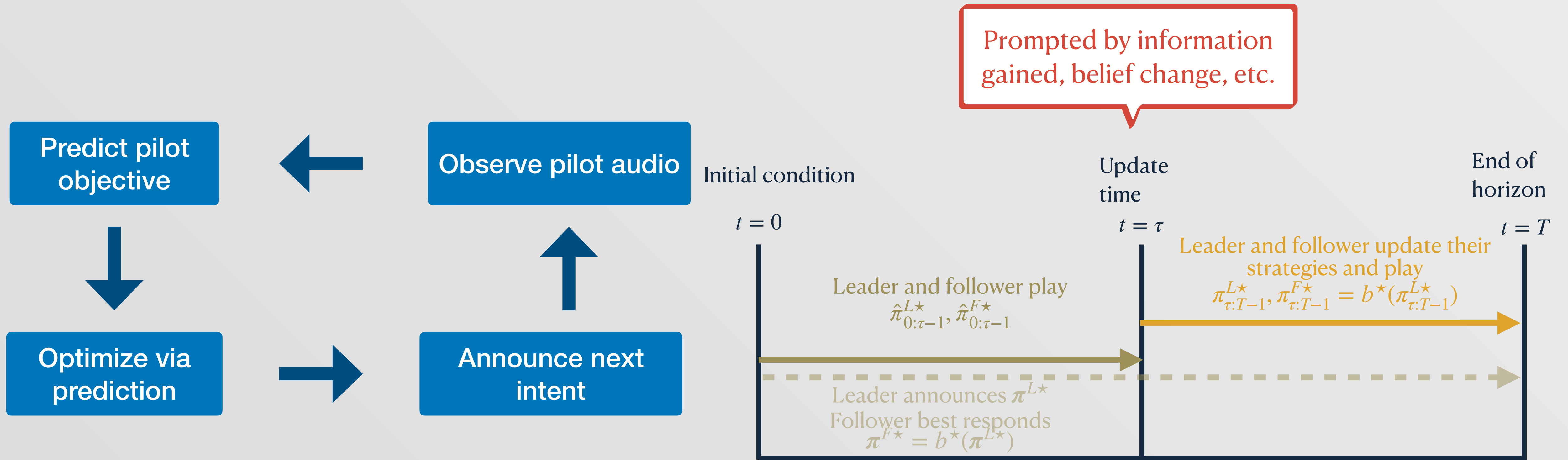
Stackelberg Dynamic Game

- The follower's BR is given by $b^*(\pi^L) \in \arg \min_{\pi^F \in \Pi_{0:T-1}^F} J_{0:T}^F(\mathbf{x}, \pi^L, \pi^F)$ (BR)

$$\text{s.t. } \mathbf{x} = Hx_0 + G^L \pi^L + G^F \pi^F$$

- And the leader solves the problem $\min_{\pi^L \in \Pi_{0:T-1}^L} J_{0:T}^L(\mathbf{x}, \pi^L, b^*(\pi^L))$ (L)

$$\text{s.t. } \mathbf{x} = Hx_0 + G^L \pi^L + G^F b^*(\pi^L)$$



Stackelberg Dynamic Game

- At update time τ , is $\pi_{\tau:T-1}^{L*}$ still optimal for the remaining time steps?
- No (time-inconsistency), the leader can announce a different strategy and achieve a lower cost

Prompted by information gained, belief change, etc.

Open-loop Stackelberg Equilibrium (OLSE)

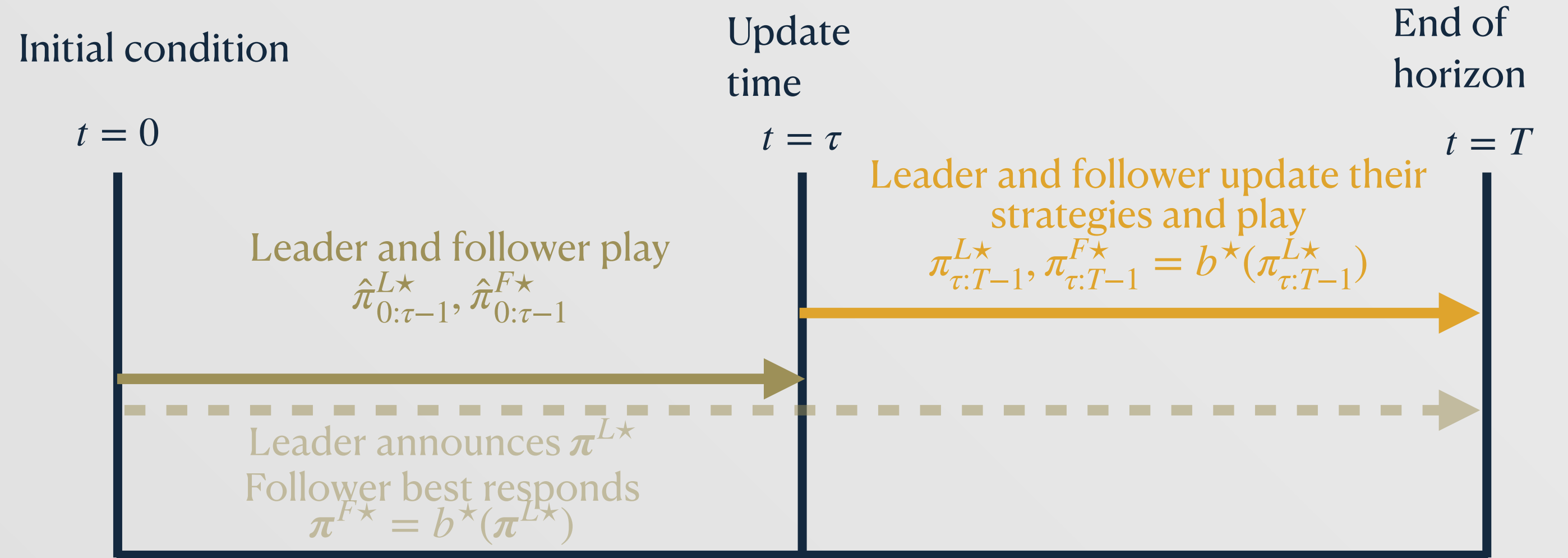
At $t = 0$ leader announces $\hat{u}_{0:T-1}^L = [-0.82, -0.34, -0.15, -0.06, -0.02, -0.01]$
 At $t = \tau$ leader announces $u_{\tau:T-1}^L = [-0.14, -0.06, -0.02]$

$J_{0:T}^L = 3.61$

OL strategy with update at tau

At $t = 0$ leader announces $\hat{u}_{0:T-1}^L = [-0.32, 0.1, 0.21, 3.52, 2.23, 1.08]$
 At $t = \tau$ leader announces $u_{\tau:T-1}^L = [0.1, 0.04, 0.02]$

$J_{0:T}^L = 2.64$



1D linear quadratic (LQ) Stackelberg Game

- 6 time steps, update time is 3, 1-D dynamics

$$x_{t+1} = x_t + 0.5 u_t^L + 0.5 u_t^F, \quad x_0 = 1, \quad T = 6, \quad \tau = 3$$

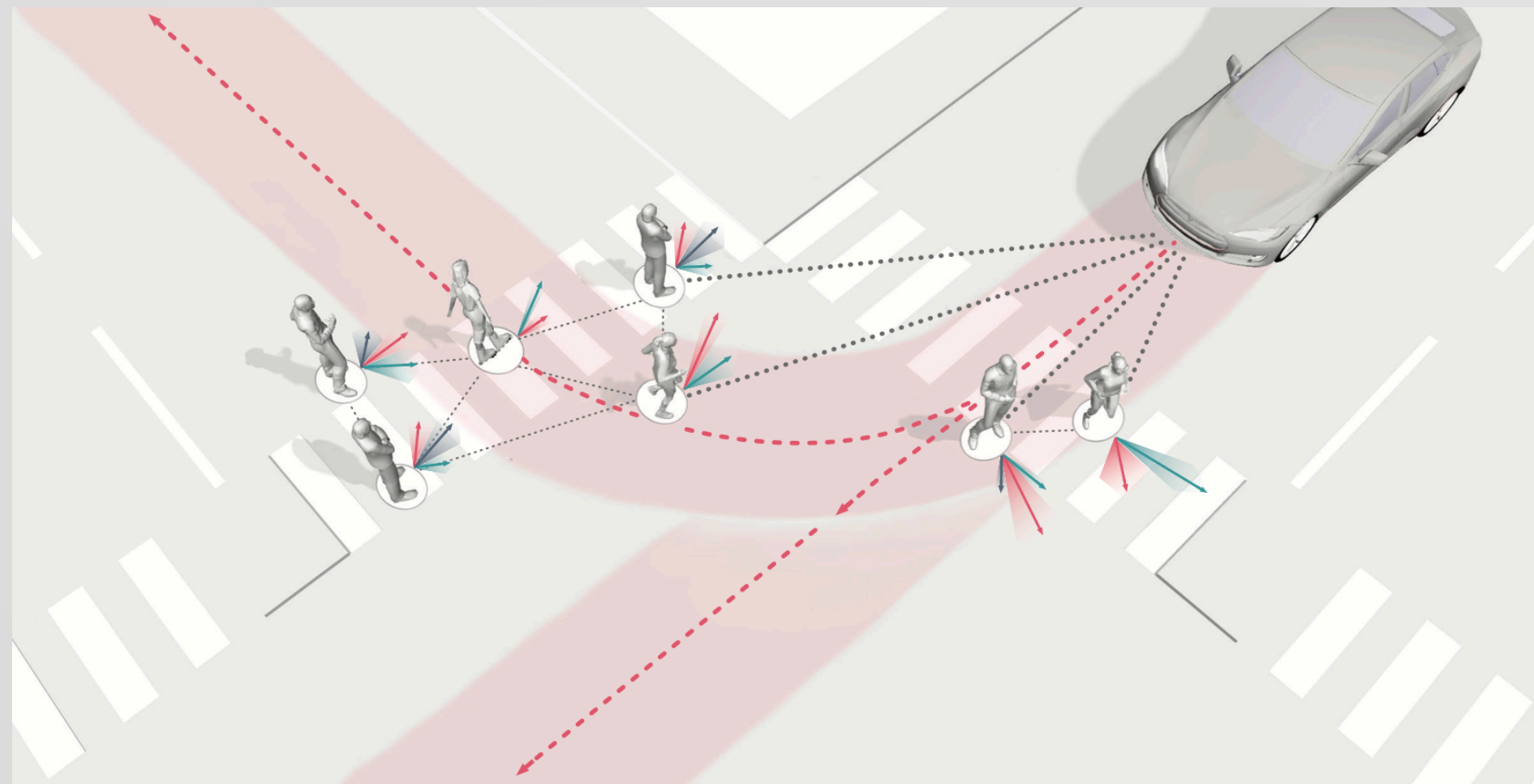
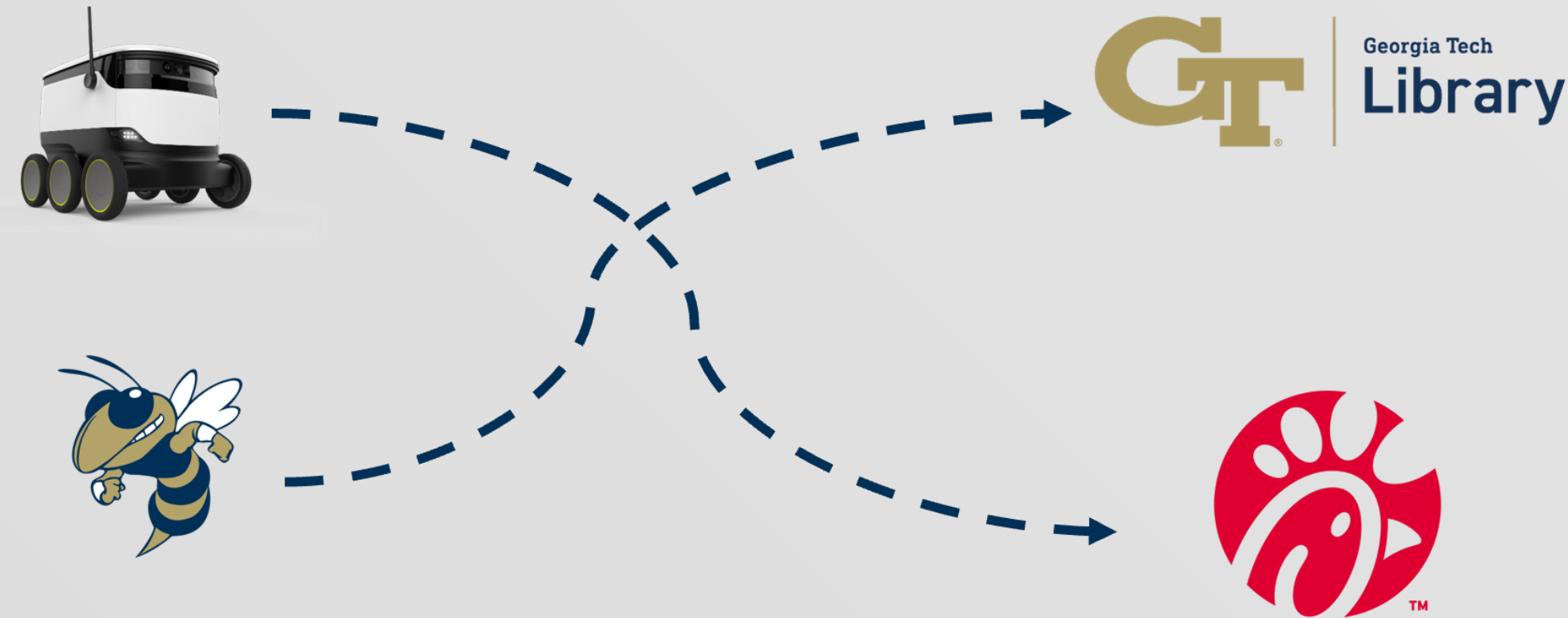
- Player cost functions are:

$$J_{0:T}^L = \sum_{t=0}^T x_t^\top 2x_t + \sum_{t=0}^{T-1} u_t^{L\top} u_t^L$$

$$J_{0:T}^F = \sum_{t=0}^T x_t^\top 0.5x_t + \sum_{t=0}^{T-1} u_t^{L\top} 2u_t^L$$

LQ collision avoidance example

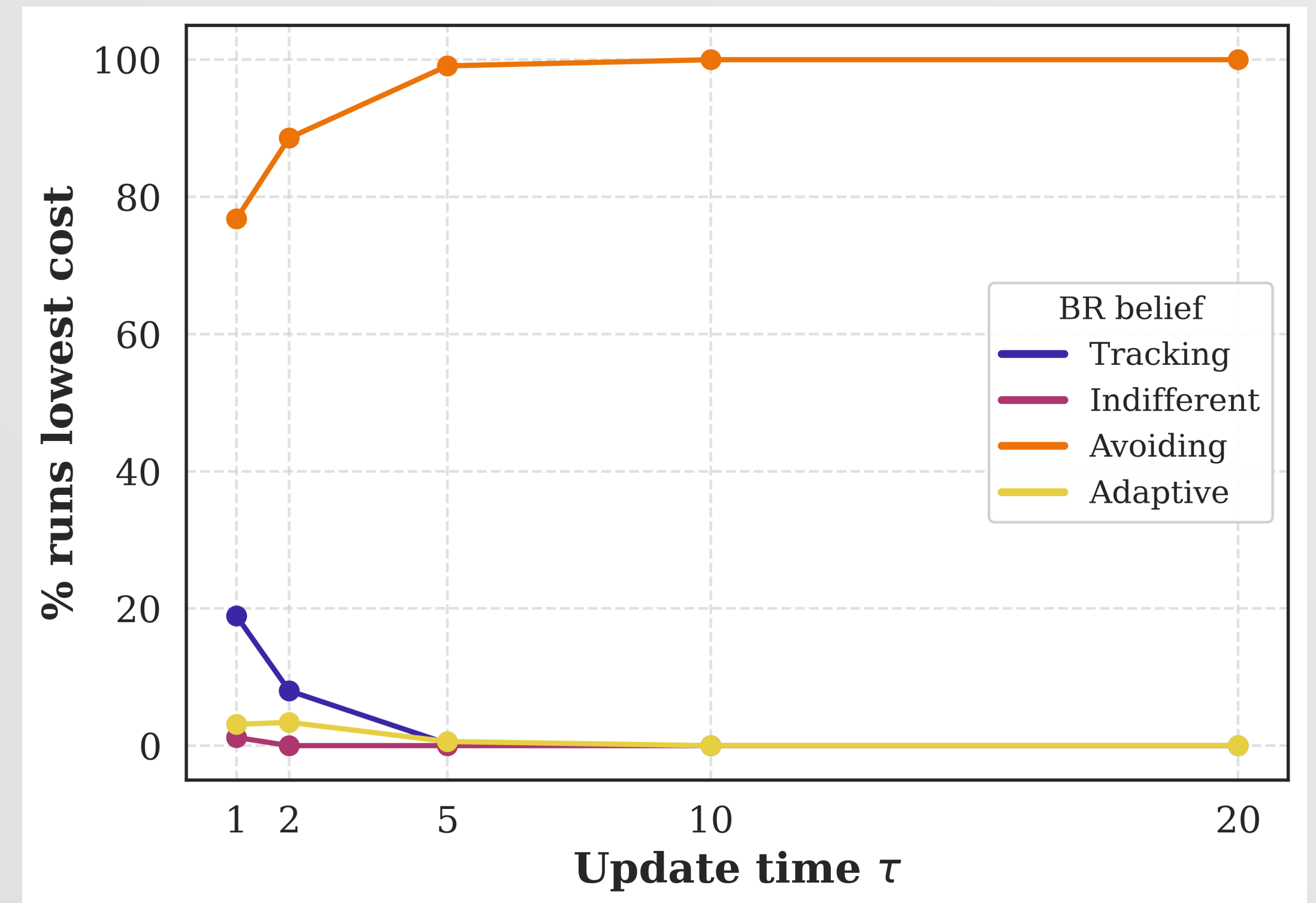
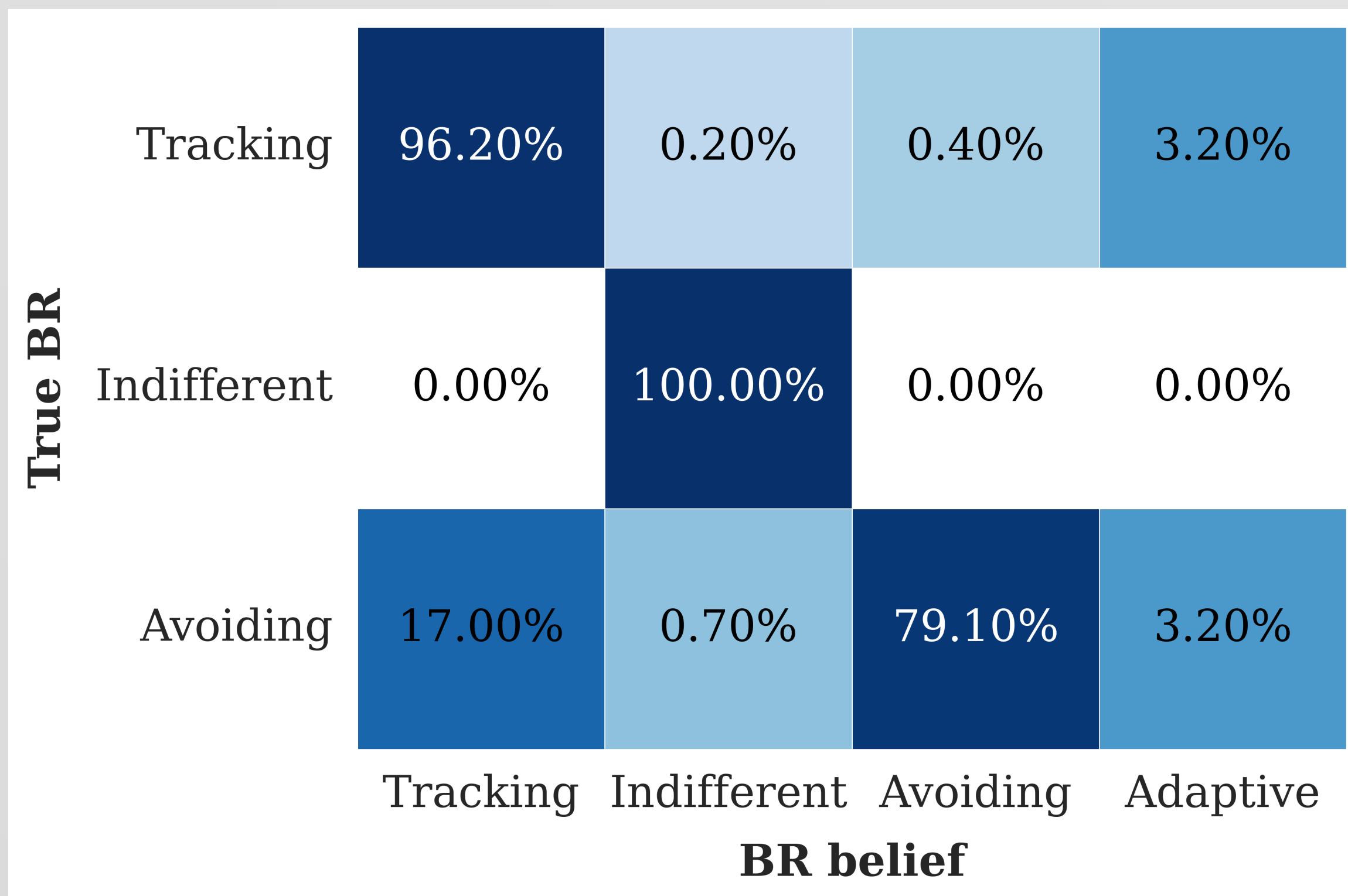
Setup



- Each player has double integrator dynamics and some reference trajectory
- The follower has three possible intentions: tracking (T) the leader, indifferent (I) or avoiding (A).
- The leader either assumes the one of the three follower intentions or an adaptive (Ad) belief given by a multi model estimator
- Randomize over initial conditions and reference trajectories

LQ collision avoidance example

Optimality of wrong beliefs

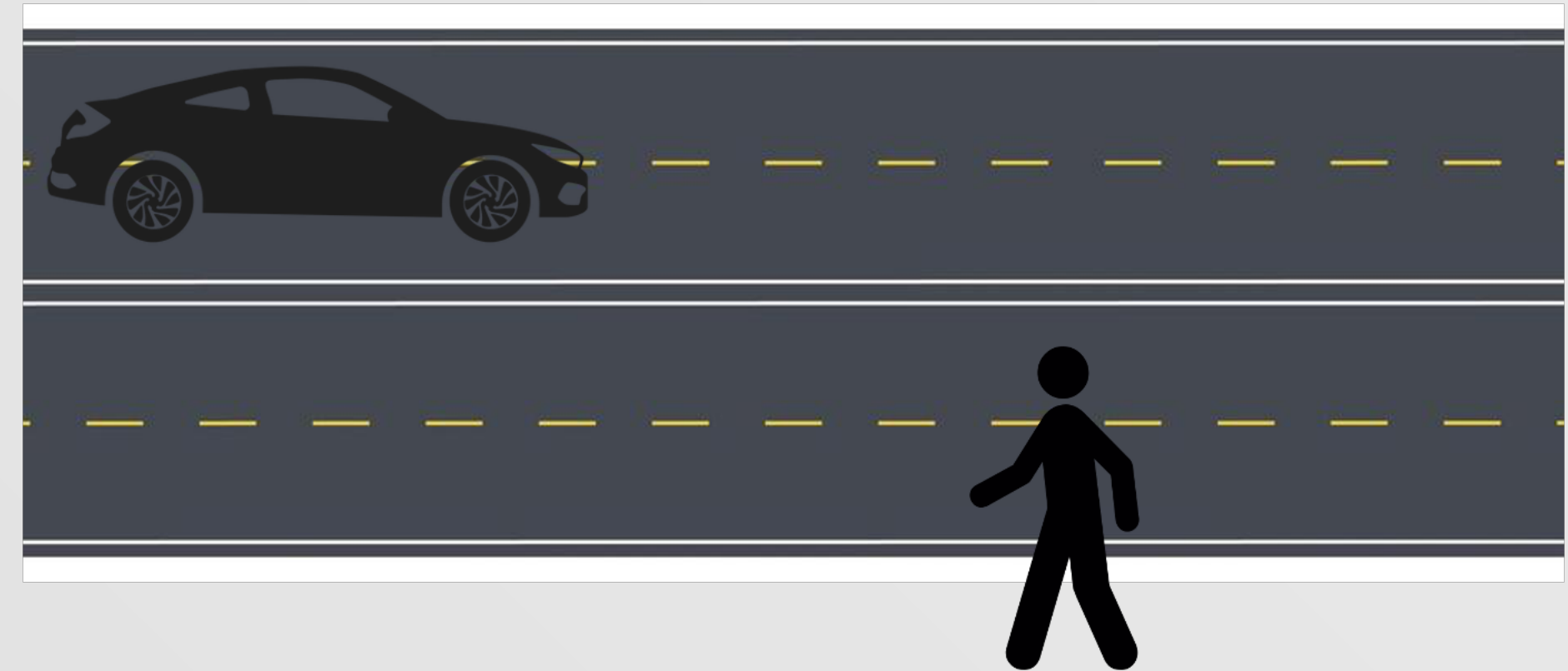
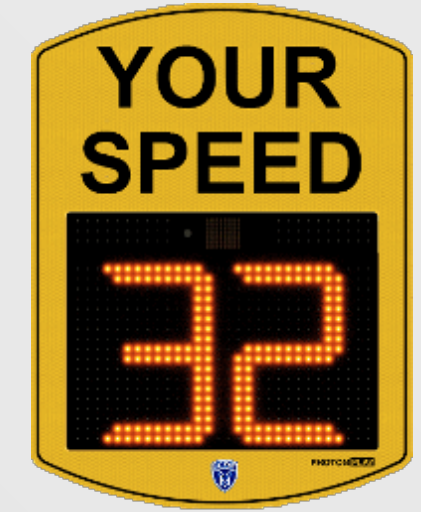


Key insight:
- OLSE is not optimal under leader strategy updates

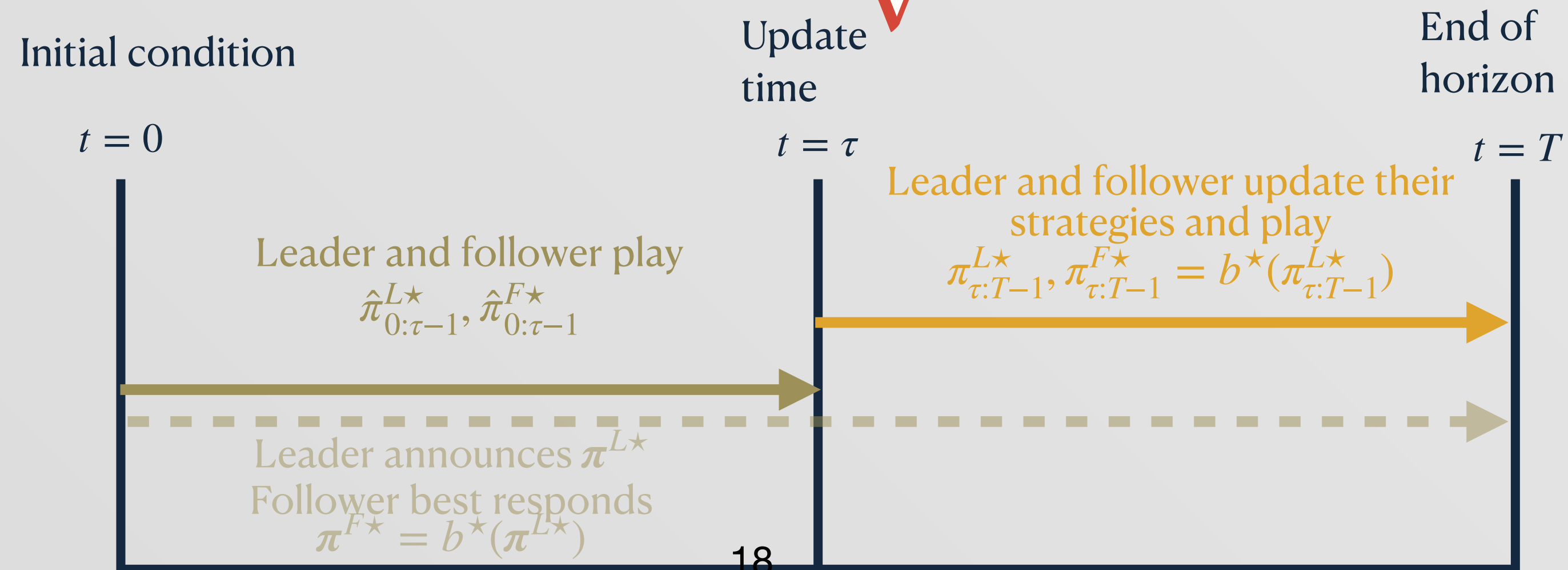
What is optimal?

- Prior work on time-inconsistent control do not achieve time-consistent optimality
- Common in economics, where follower trust is measured against leader's commitment-breaking behavior

Illustrative example



Leader breaks commitment



Def: commitment-breaking Stackelberg strategy: $\hat{u}_{0:T-1}^L \cup u_{\tau:T-1}^L$

At $t = 0$ we optimize over $2T - \tau$ control elements

First T elements: announced strategy at $t = 0$

Last $T - \tau$ elements: updated strategy at $t = \tau$

$$\begin{aligned}
 & x_{0:T} = Hx_0 + G^L \begin{bmatrix} \hat{u}_{0:\tau-1}^L \\ u_{\tau:T-1}^L \end{bmatrix} + G^F u_{0:T-1}^F \\
 \text{s.t. } & u_{0:T-1}^F = \begin{bmatrix} u_{0:\tau-1}^F \\ u_{\tau:T-1}^F \end{bmatrix} = \begin{bmatrix} [I_{\tau-1} \quad 0] (\hat{G}^F \hat{u}_{0:T-1}^L + \hat{H}^F x_0) \\ \hat{G}_{\tau:T-1}^F u_{\tau:T-1}^L + \hat{H}_{\tau:T}^F x_\tau \end{bmatrix}
 \end{aligned}$$

The announced strategy affects the response of the follower over $[0, \tau - 1]$

Stackelberg Dynamic Game with Updates

If the leader knows when it will break commitment next, we can explicitly model leader's **entire strategy profile**.

Def: commitment-breaking Stackelberg strategy: $\hat{u}_{0:T-1}^L \cup u_{\tau:T-1}^L$

At $t = 0$ we optimize over $2T - \tau$ control elements

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Last $T - \tau$ elements: updated strategy at $t = \tau$

time-consistent by construction

$$\min_{\hat{u}^L \in \mathcal{U}_{0:T-1}^L} \left[J_{0:\tau-1}^L(x_{0:\tau-1}, \hat{u}_{0:\tau-1}^L, u_{0:\tau-1}^F) + \min_{u_{\tau:T-1}^L \in \mathcal{U}_{\tau:T-1}^L} J_{\tau:T}^L(x_{\tau:T}, u_{\tau:T-1}^L, b^*(u_{\tau:T-1}^L)) \right]$$

$$x_{0:T} = Hx_0 + G^L \begin{bmatrix} \hat{u}_{0:\tau-1}^L \\ u_{\tau:T-1}^L \end{bmatrix} + G^F u_{0:T-1}^F$$

s. t

$$u_{0:T-1}^F = \begin{bmatrix} u_{0:\tau-1}^F \\ u_{\tau:T-1}^F \end{bmatrix} = \begin{bmatrix} [I_{\tau-1} \quad 0] (\hat{G}^F \hat{u}_{0:T-1}^L + \hat{H}^F x_0) \\ \hat{G}_{\tau:T-1}^F u_{\tau:T-1}^L + \hat{H}_{\tau:T}^F x_{\tau} \end{bmatrix}$$

The announced strategy affects the response of the follower over $[0, \tau - 1]$

Stackelberg Dynamic Game with Updates

If the leader knows when it will break commitment next, we can explicitly model leader's **entire strategy profile**.

Thm [Rodriguez'26]: the optimal solution $(\hat{u}^{L\star}, u^{L\star})$ to the minimization problem below achieves the minimal leaders cost across all commitment-breaking Stackelberg strategies

time-consistent by construction

$$\min_{\hat{u}^L \in \mathcal{U}_{0:T-1}^L} \left[J_{0:\tau-1}^L(x_{0:\tau-1}, \hat{u}_{0:\tau-1}^L, u_{0:\tau-1}^F) + \min_{u_{\tau:T-1}^L \in \mathcal{U}_{\tau:T-1}^L} J_{\tau:T}^L(x_{\tau:T}, u_{\tau:T-1}^{L'}, b^\star(u_{\tau:T-1}^{L'})) \right]$$

$$x_{0:T} = Hx_0 + G^L \begin{bmatrix} \hat{u}_{0:\tau-1}^L \\ u_{\tau:T-1}^{L'} \end{bmatrix} + G^F u_{0:T-1}^F$$

s. t

$$u_{0:T-1}^F = \begin{bmatrix} u_{0:\tau-1}^F \\ u_{\tau:T-1}^F \end{bmatrix} = \begin{bmatrix} [I_{\tau-1} \ 0] (\hat{G}^F \hat{u}_{0:T-1}^L + \hat{H}^F x_0) \\ \hat{G}_{\tau:T-1}^F u_{\tau:T-1}^{L'} + \hat{H}_{\tau:T}^F x_\tau \end{bmatrix}$$

The announced strategy affects the response of the follower over $[0, \tau - 1]$

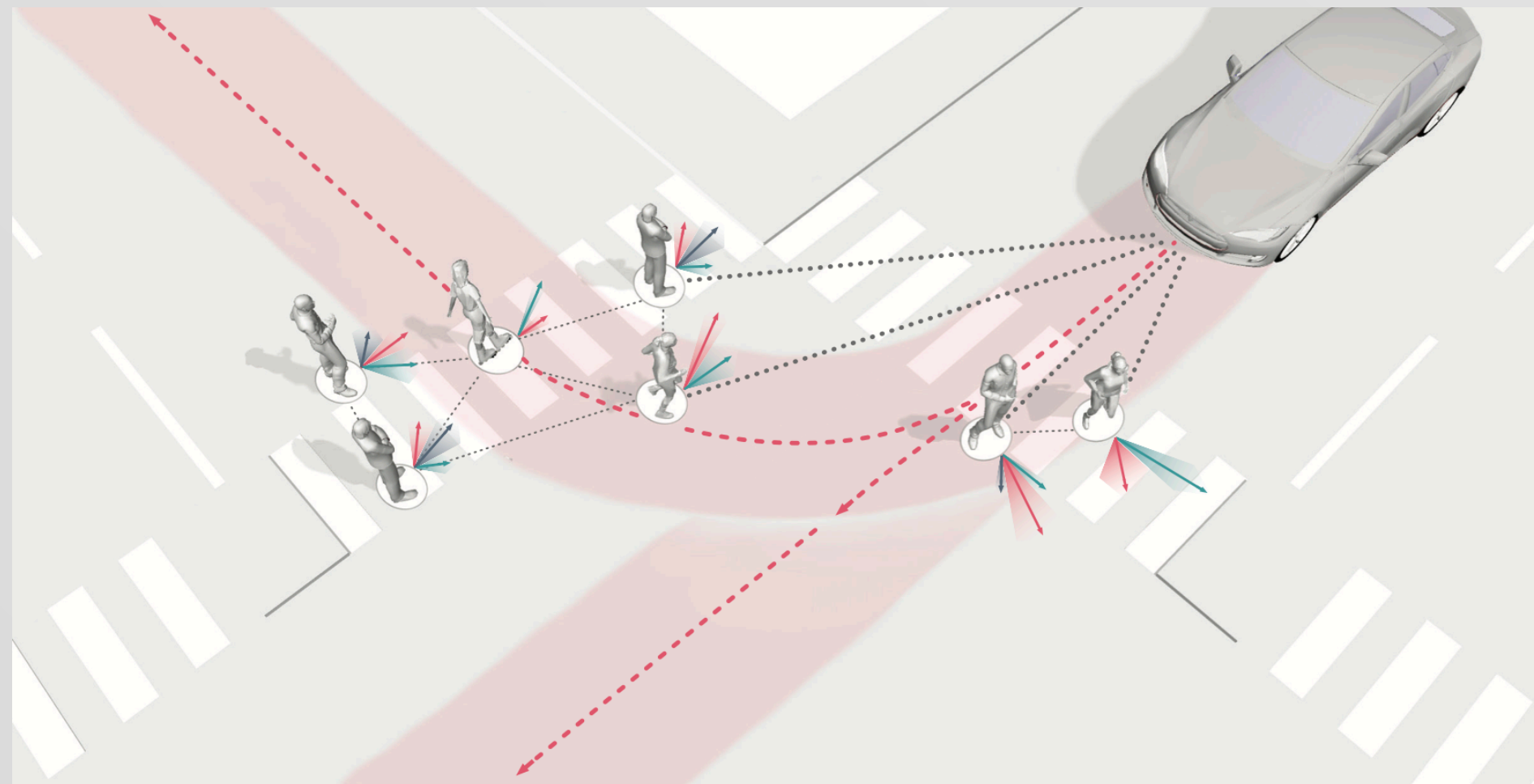
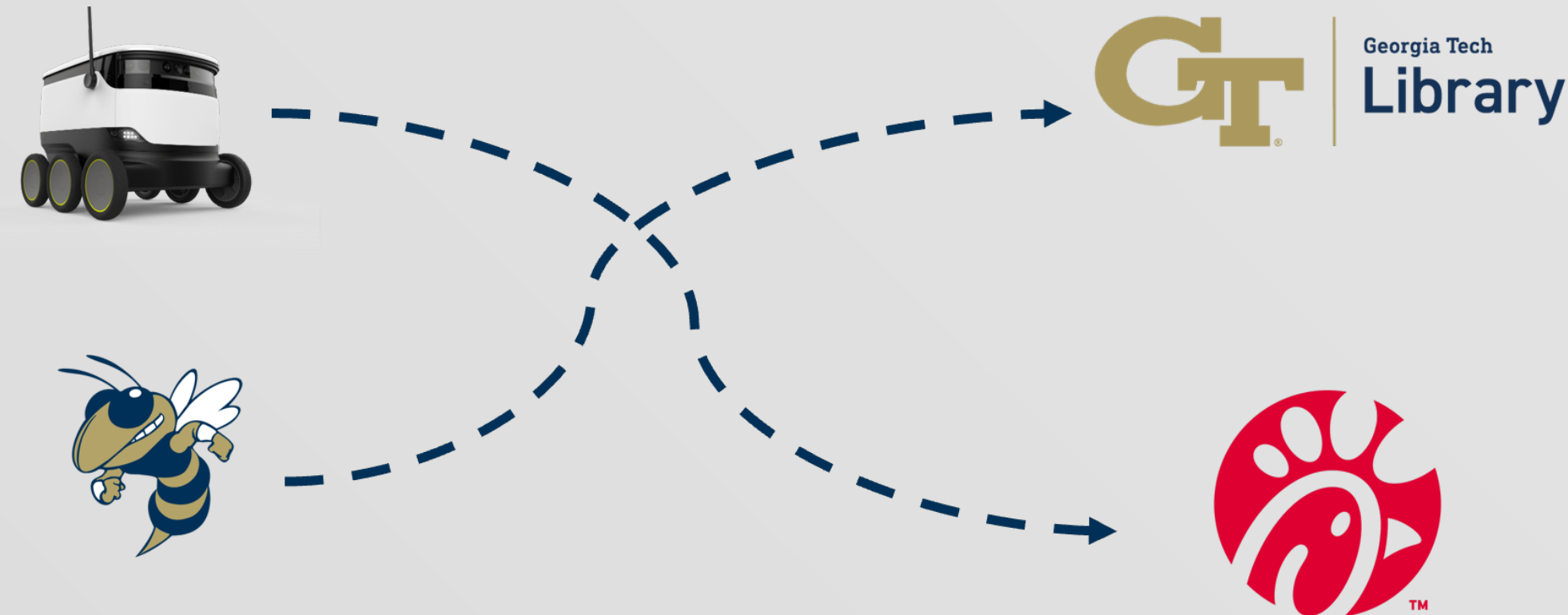
Stackelberg Dynamic Game with Updates

Key insight:

- Leader can commit to a strategy \hat{u}^L that incurs high cost, knowing that the strategy won't be followed through

LQ collision avoidance example

Setup



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LQ collision avoidance example

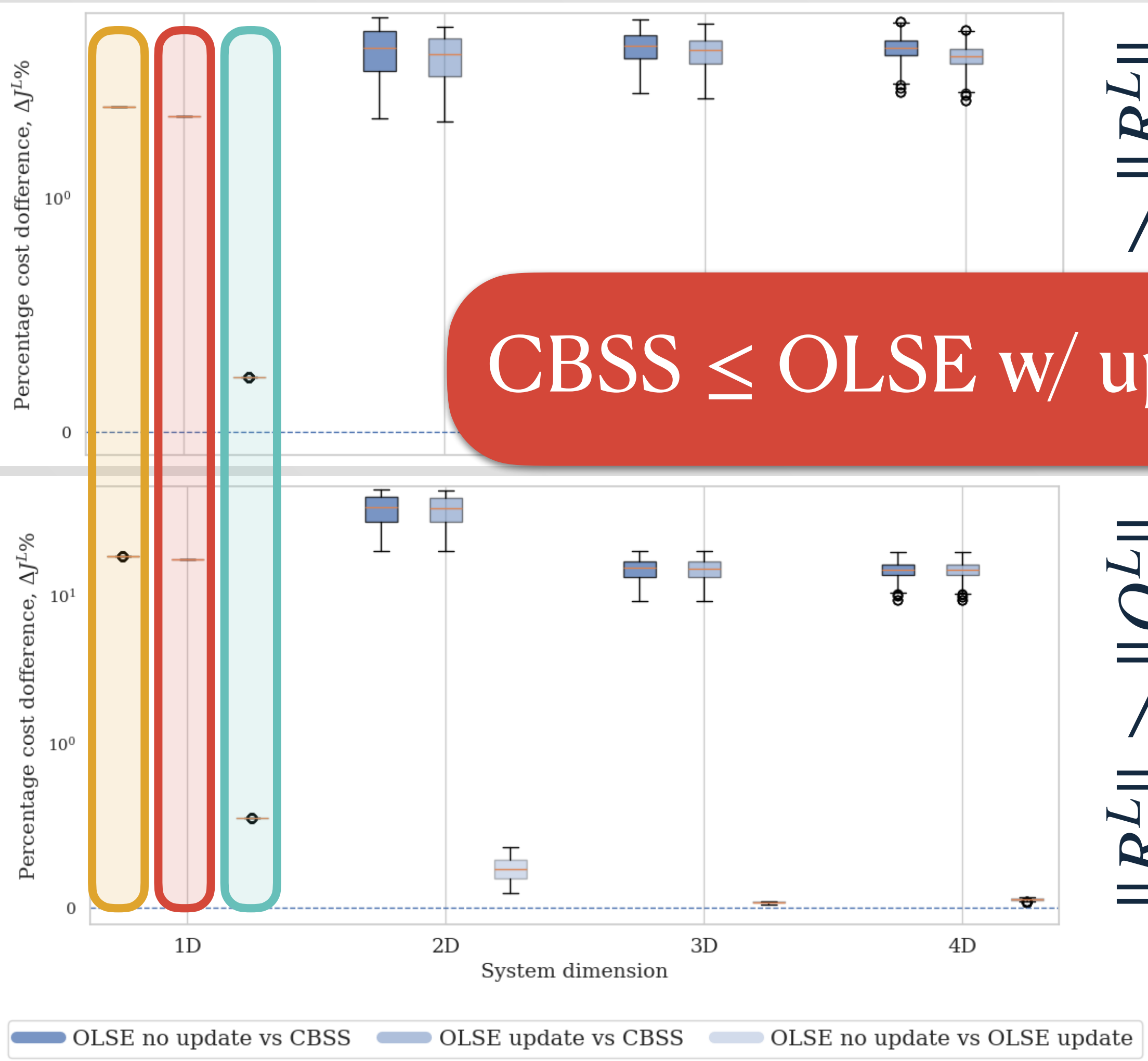
Optimality of commitment-breaking Stackelberg strategy (CBSS)

True BR	Tracking	96.20%	0.20%	0.40%	3.20%
	Indifferent	0.00%	100.00%	0.00%	0.00%
	Avoiding	17.00%	0.70%	79.10%	3.20%
		Tracking	Indifferent	Avoiding	Adaptive
		BR belief			

True BR	Tracking	0.00%	0.00%	0.00%	0.00%	100.00%
	Indifferent	0.00%	0.00%	0.00%	0.00%	100.00%
	Avoiding	0.00%	0.00%	0.00%	0.00%	100.00%
		Tracking BR belief	Indifferent BR belief	Avoiding BR belief	Adaptive BR belief	Commitment breaking
		Control played				

Commitment breaking Stackelberg strategy

CBSS vs. OLSE update vs. OLSE no update



CBSS \leq OLSE w/ update \leq OLSE w/o update

$\|R^L\| >$

- OLSE without updating vs. CBSS
- “Naive” OLSE with update vs. CBSS
- OLSE with no update vs “naive” OLSE with update

$\|R^L\| <$

- For each state dimension, dynamics and cost matrices are fixed
- $i = \{ \text{OLSE w/o update}, \text{OLSE w/ update} \}$

Third box! $\|Q^L\|, \|Q^F\|_\infty$ remain constant

Initial state x_0 randomized

$$\frac{|J_{0:T}^{L, \text{OLSE w/o update}} - J_{0:T}^{L, \text{OLSE w/ update}}|}{|J_{0:T}^{L, \text{OLSE w/ update}}|} \cdot 100$$

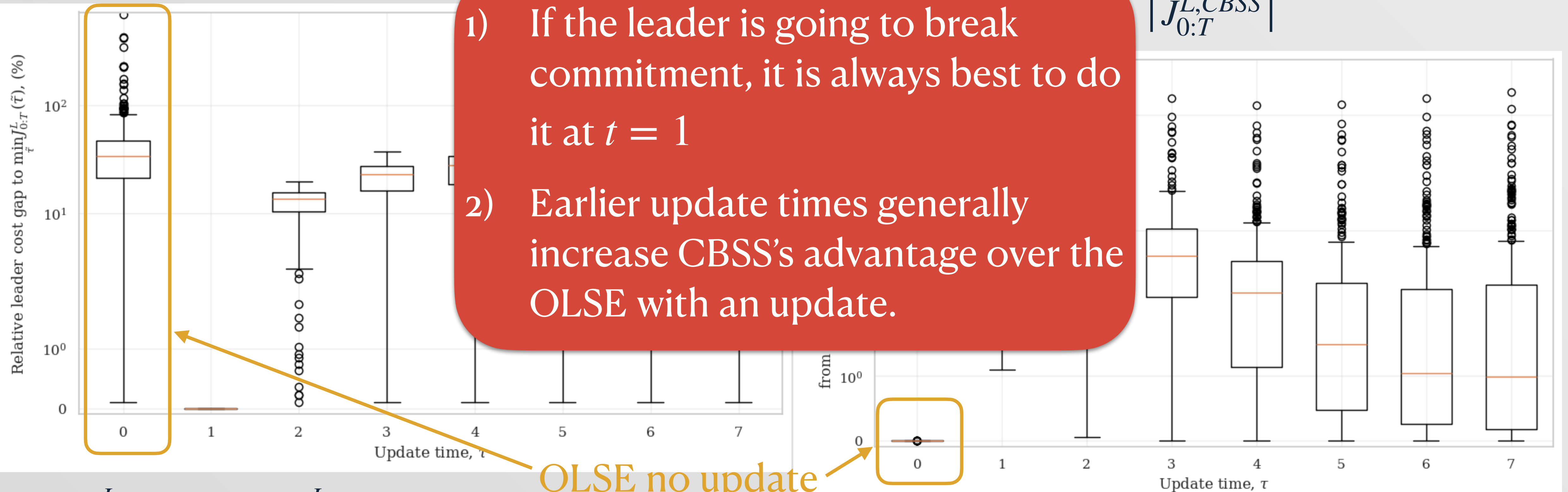
Commitment breaking Stackelberg strategy

Effect of update time on CBSS performance

If the leader is playing CBSS, what is the best update time?

$$\frac{J_{0:T}^{L,OLSE} - J_{0:T}^{L,CBSS}}{|J_{0:T}^{L,CBSS}|} \cdot 100$$

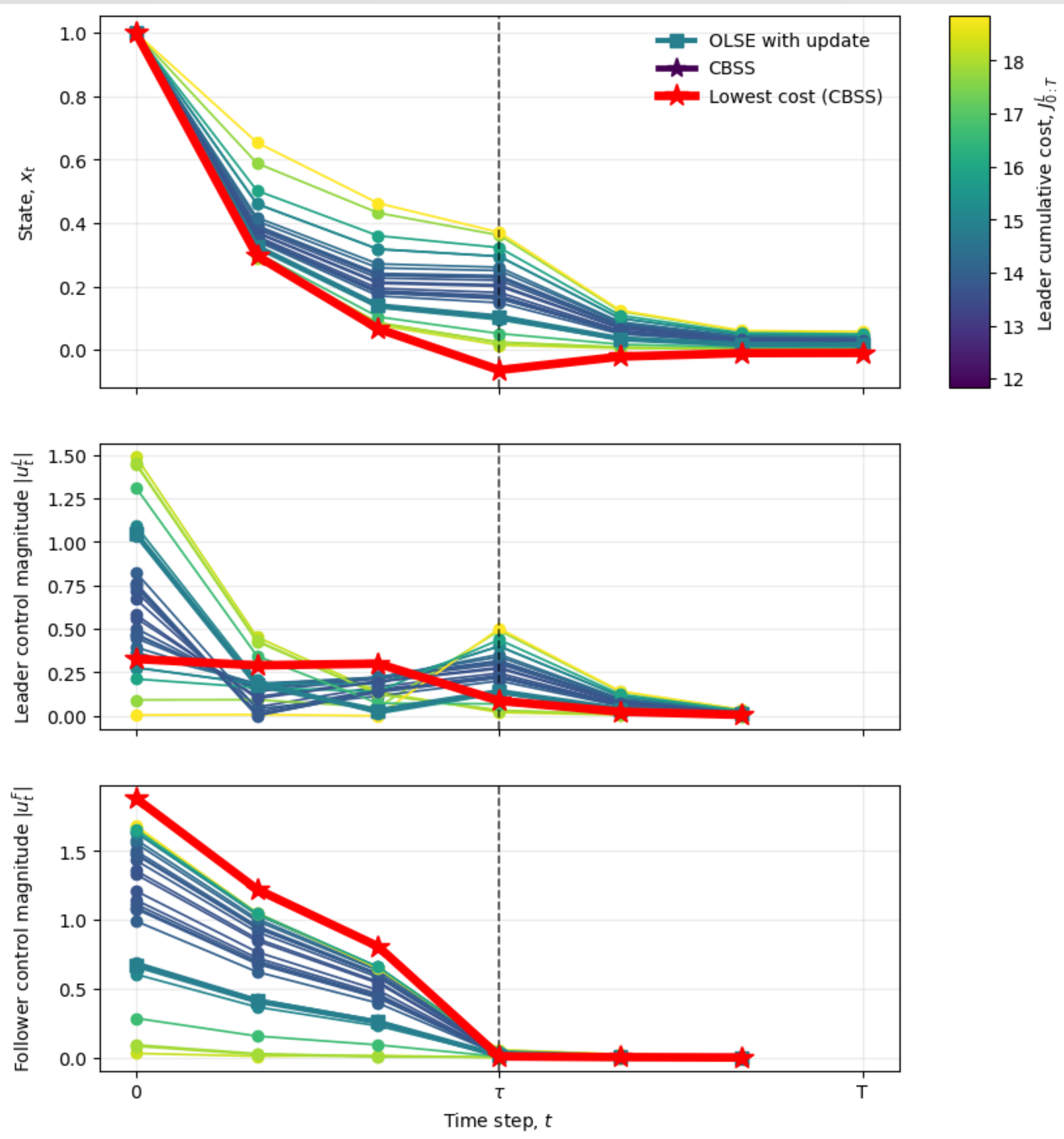
- 1) If the leader is going to break commitment, it is always best to do it at $t = 1$
- 2) Earlier update times generally increase CBSS's advantage over the OLSE with an update.



$$\frac{J_{0:T}^L(\tau) - \min_{\tilde{\tau}} J_{0:T}^L(\tilde{\tau})}{|\min_{\tilde{\tau}} J_{0:T}^L(\tilde{\tau})|} \cdot 100$$

What update time gives the greatest advantage to CBSS against naively solving OLSE and updating?

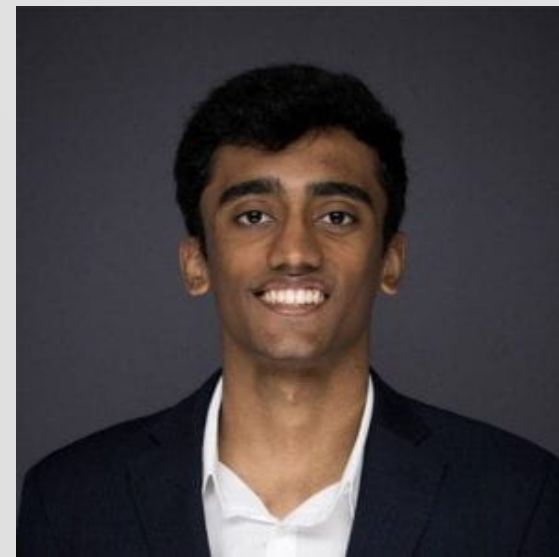
Commitment breaking Stackelberg strategy



Benchmarking state behavior with CBSS

Acknowledgments/references

Language Conditioning Improves Accuracy of Aircraft Goal Prediction in Untowered Airspace
To appear in ICRA 2026, Vienna



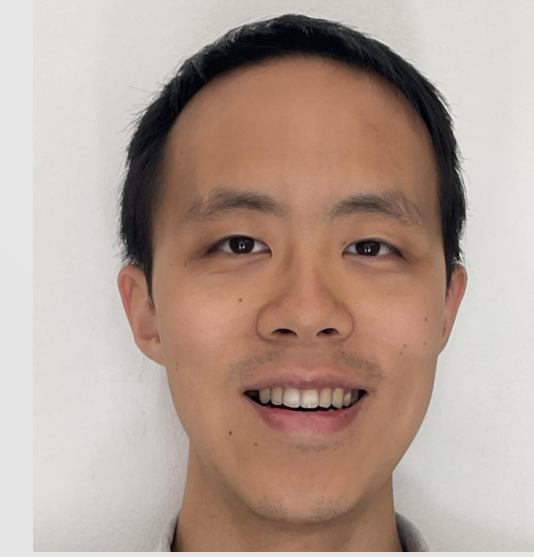
Sundhar
Sangeetha (MS)



Owen Daum
(High school)



Shreyas
Kousik (PI)



Frank (C.Y.)
Chiu (PI)

When the Correct Model Fails: The Optimality of Stackelberg Equilibria with Follower Intention Updates
To appear in ECC 2026, Reykjavik, journal in preparation



Cayetana Salinas
Rodriguez (MS)



Jonathan
Rogers (PI)